

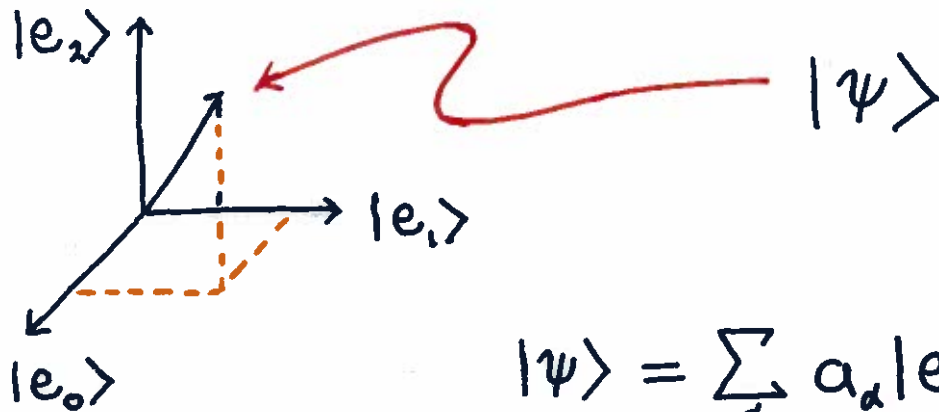
# Quantum Foundations, Asher Peres Style

C. A. Fuchs  
Perimeter Inst.

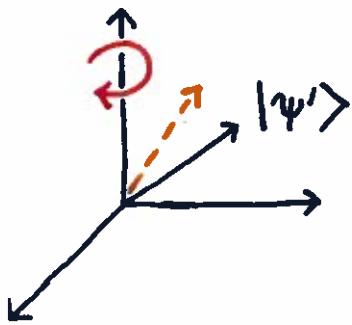
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# Quantum Mechanics

## 1) States



## 2) Evolutions



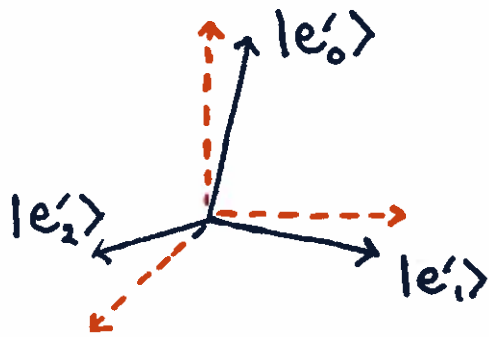
$$|\psi'\rangle = U |\psi\rangle$$

Unitary  
(Schrödinger)

$$U^{-1} = U^{\dagger}$$

# More QM

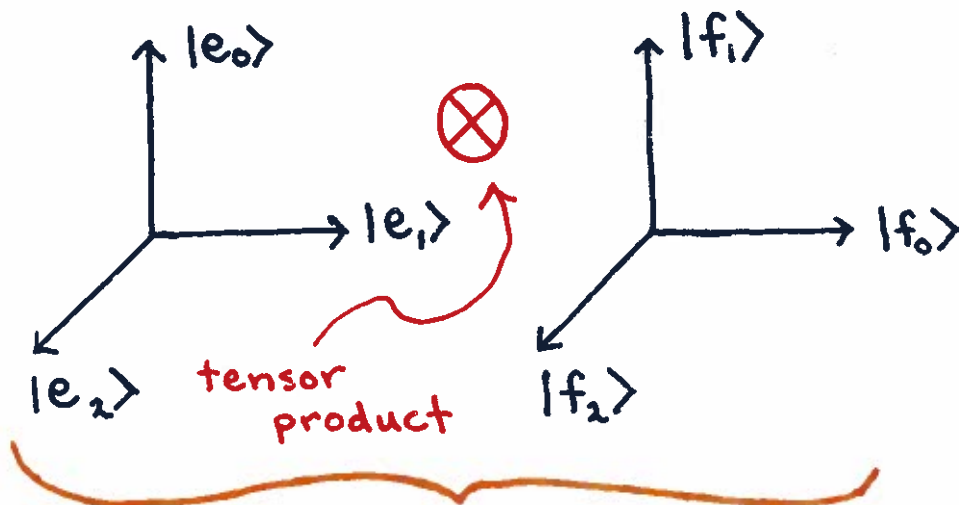
## 3) Measurement



= { any  
orthonormal  
basis  
(Hermitian operator)

$$p(b | |\psi\rangle) = |\langle e'_b | \psi \rangle|^2$$

## 4) Composition

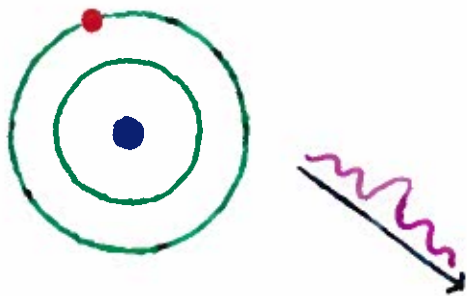


$$|\Psi\rangle = \sum_{\alpha\beta} c_{\alpha\beta} |e_\alpha\rangle |f_\beta\rangle = \begin{cases} \text{entangled} \\ \text{if not} \\ |\varphi\rangle |\lambda\rangle \end{cases}$$

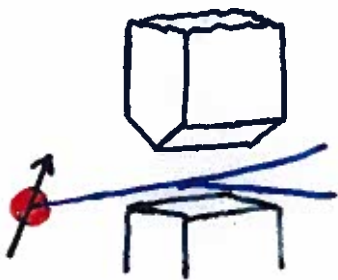
"A wavefunction is not something which 'exists' in nature."

- A. Peres  
Am. J. Phys. (1986)

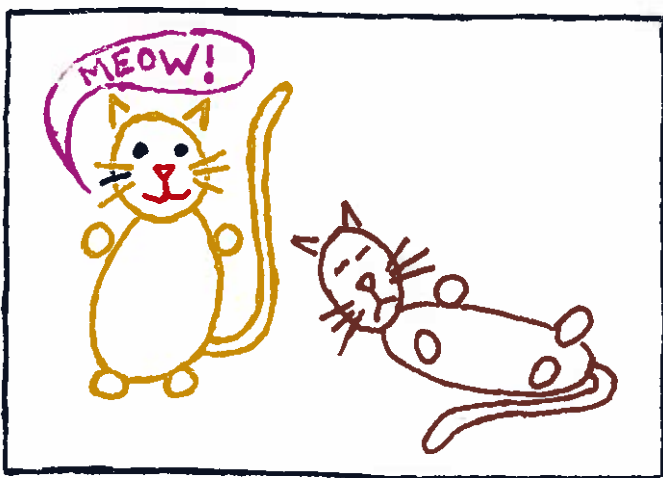
## Example : The Qubit



A two-level atom  
or a photon's  
polarization



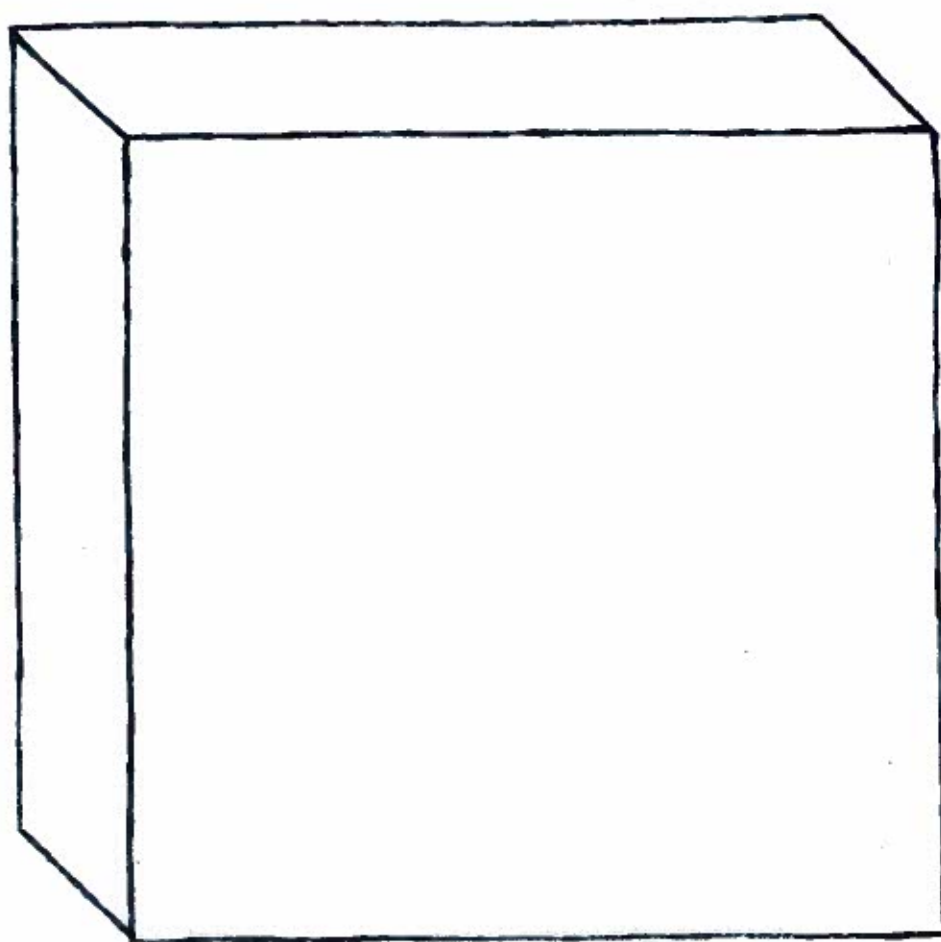
The spin of an  
electron



Schrödinger's  
cat

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

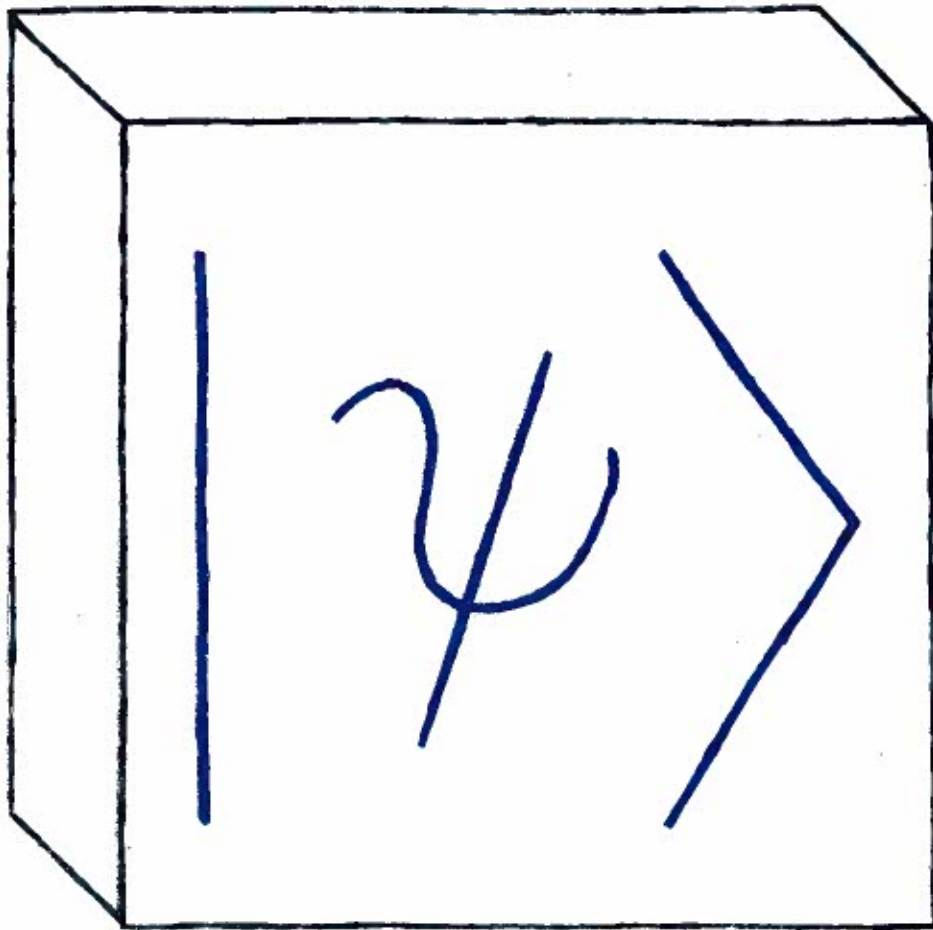
$$\begin{aligned} \Pi = |\psi\rangle\langle\psi| &= |\alpha|^2|0\rangle\langle 0| + \alpha\beta^*|0\rangle\langle 1| \\ &+ \alpha^*\beta|1\rangle\langle 0| + |\beta|^2|1\rangle\langle 1| \end{aligned}$$



The hypothesis that there is an external world, not dependent on human minds, made of something, is so obviously useful and so strongly confirmed by experience down through the ages that we can say without exaggerating that it is better confirmed than any other empirical hypothesis.

— Martin Gardner

Information/knowledge  
about what?



... the consequences of our  
experimental interventions  
into the course of Nature.

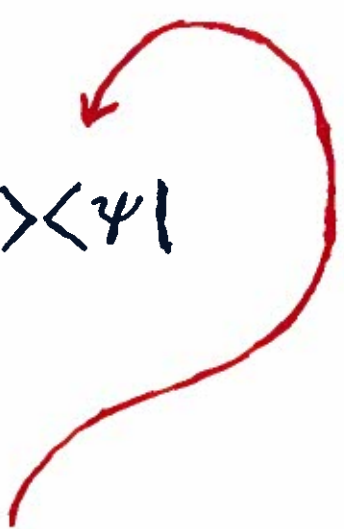
# Notation Warning

Sloppily call both

$$|\psi\rangle \quad \text{and} \quad \Pi = |\psi\rangle\langle\psi|$$

"the quantum state".

But that's the  
right one.



$$\text{tr } A\Pi = \text{tr } [A |\psi\rangle\langle\psi|] = \langle\psi|A|\psi\rangle$$

When  $A = |\varphi\rangle\langle\varphi|$ ,

$$\text{tr } A\Pi = \langle\psi|\varphi\rangle\langle\varphi|\psi\rangle = |\langle\psi|\varphi\rangle|^2.$$

What counts as a  
quantum measurement?

And why?

# von Neumann Measurements

"measurement"



Hermitian operator

$$\mathcal{O} = \sum_i \alpha_i \pi_i$$

eigenvalues

eigenprojectors

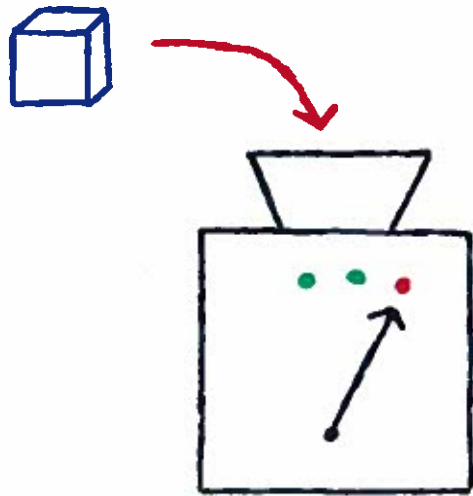
When state is  $\rho$ ,

$$p(i) = \text{tr } \rho \pi_i .$$

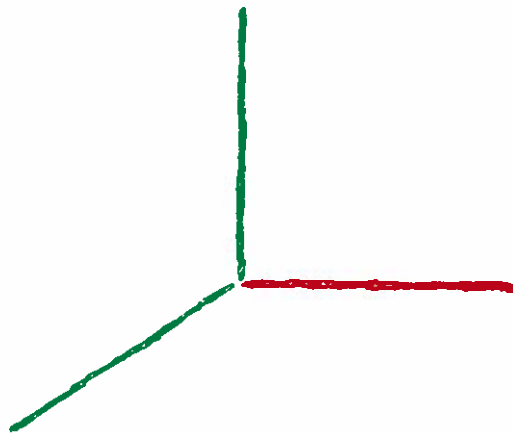
Could say,

"measurement"  $\iff \{ \pi_i \}$

# "Measurement"



Theoretical  
Description



# Bloch Sphere

$$\rho = |\psi\rangle\langle\psi| \in \mathcal{L}(\mathcal{H}_2) \quad \text{i.e., qubit}$$

$$\sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad \sigma_y = \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix} \quad \sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

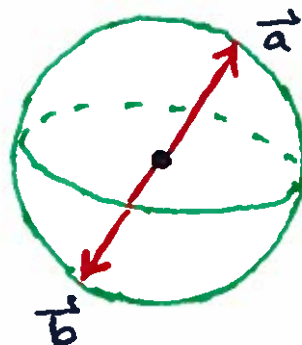
$$\mathbb{I} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\rho = \frac{1}{2}(\mathbb{I} + a_x \sigma_x + a_y \sigma_y + a_z \sigma_z)$$

$$= \frac{1}{2} \begin{bmatrix} 1 + a_z & a_x + ia_y \\ a_x - ia_y & 1 - a_z \end{bmatrix}$$

$$\vec{a} = (a_x, a_y, a_z) \quad \text{with} \quad |\vec{a}|^2 = 1.$$

Theorem  $\text{tr } \rho \Pi = 0$  iff  $\vec{a} = -\vec{b}$ .



# POVMs

Positive Operator Valued Measures

— an immensely useful tool

Let  $\mathcal{P} = \{E : 0 \leq \langle \psi | E | \psi \rangle \leq 1 \ \forall |\psi\rangle\}$ .

Any set of operators

$$\{E_b : E_b \in \mathcal{P}, \sum_b E_b = I\}$$

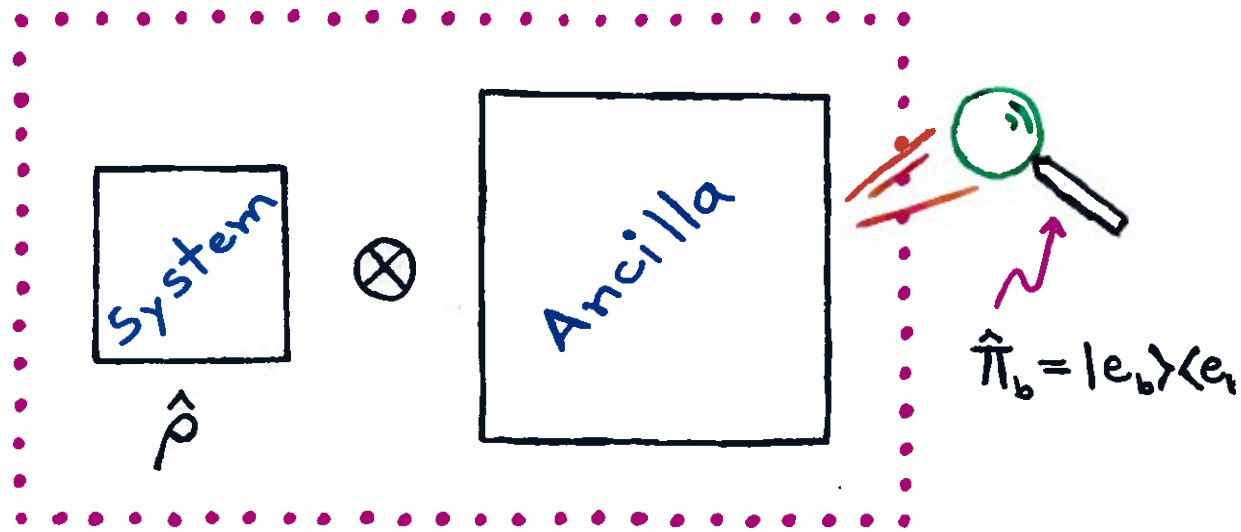
corresponds to a potential mmmt.

Probability of outcome  $b$ ,

$$p_b = \text{tr } \rho E_b.$$

# Generalized Measurements

or **POVMs** — positive operator-valued measures



- 1) Couple system to be "measured" to some "ancilla".
- 2) Let the two interact unitarily.
- 3) Perform standard measurement on ancilla.

---

$$p(b) = \text{tr } \hat{\rho} \hat{E}_b$$

$\hat{E}_b$  arbitrary otherwise

$$\text{with } \langle \psi | \hat{E}_b | \psi \rangle \geq 0 \quad \forall |\psi\rangle$$

$$\text{and } \sum_b \hat{E}_b = \hat{I}$$

# Dirac Notation

## Tensor Product Space:

For two Hilbert spaces  $\mathcal{H}_1$  and  $\mathcal{H}_2$  define  $\mathcal{H}_1 \otimes \mathcal{H}_2$  to be composed of all ordered pairs  $|\psi_1\rangle|\psi_2\rangle$ , where  $|\psi_1\rangle \in \mathcal{H}_1$  and  $|\psi_2\rangle \in \mathcal{H}_2$ , and all linear superpositions thereof.

Compatibility requirements —

$$\begin{aligned}(\alpha|\psi_1\rangle + \beta|\psi_2\rangle)|\varphi\rangle &= (\alpha|\psi_1\rangle)|\varphi\rangle + (\beta|\psi_2\rangle)|\varphi\rangle \\ &= |\psi_1\rangle(\alpha|\varphi\rangle) + |\psi_2\rangle(\beta|\varphi\rangle)\end{aligned}$$

$$\text{IP}(|\psi_1\rangle|\psi_2\rangle, |\varphi_1\rangle|\varphi_2\rangle) = \langle\psi_1|\varphi_1\rangle\langle\psi_2|\varphi_2\rangle$$

↑ inner product

etc.

## Dirac Notation

Operators in  $\mathcal{O}(\mathcal{H}_1 \otimes \mathcal{H}_2)$ :

If  $|e_i^1\rangle$  and  $|e_j^2\rangle$  are orthonormal bases for  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , respectively, then  $|e_i^1\rangle|e_j^2\rangle$  is one for  $\mathcal{H}_1 \otimes \mathcal{H}_2$ .

Thus any  $A \in \mathcal{O}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  can be written

$$A = \sum_{ijkl} A_{ijkl} |e_i^1\rangle|e_j^2\rangle\langle e_k^1|\langle e_l^2|.$$

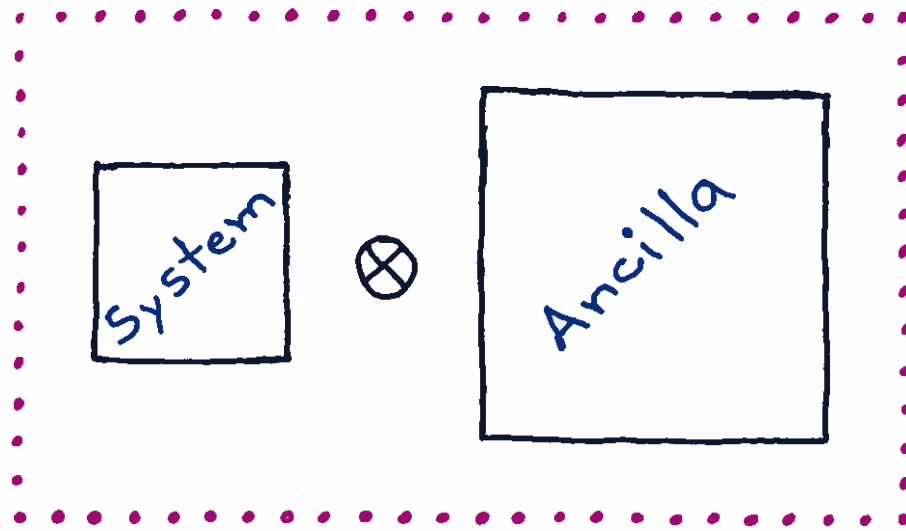
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Partial trace:

$$\text{tr}_1 A = \sum_{jln} A_{njnl} |e_j^2\rangle\langle e_l^2|$$

$$\text{tr}_2 A = \sum_{ikn} A_{ikn} |e_i^1\rangle\langle e_k^1|.$$

# Generalized Measurements



- 1) Couple system to be measured to some "ancilla" :  $\rho_S \otimes \rho_A$
- 2) Let the two interact unitarily:  
$$\rho_S \otimes \rho_A \longrightarrow U(\rho_S \otimes \rho_A)U^\dagger$$
- 3) Perform basic measurement  $\{P_1, \dots, P_k\}$  on ancilla alone
- 4) Outcome  $b$  occurs with probability  
$$p(b) = \text{tr} [U(\rho_S \otimes \rho_A)U^\dagger(I \otimes P_b)]$$

## Where POVMs Come From

$$\begin{aligned} p(b) &= \text{tr} [U(\rho_S \otimes \rho_A)U^\dagger(I \otimes P_b)] \\ &= \text{tr} [(\rho_S \otimes \rho_A)U^\dagger(I \otimes P_b)U] \\ &= \text{tr} [(\rho_S \otimes I)(I \otimes \rho_A)U^\dagger(I \otimes P_b)U] \\ &= \text{tr}_S [\rho_S \text{tr}_A \{ (I \otimes \rho_A)U^\dagger(I \otimes P_b)U \}] \\ &= \text{tr} \rho_S E_b \end{aligned}$$

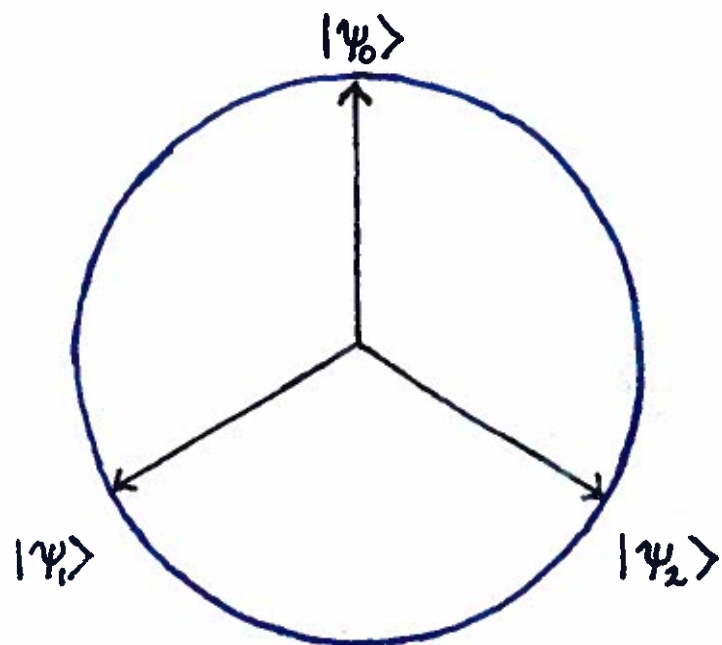
where

$$E_b = \text{tr}_A [(I \otimes \rho_A)U^\dagger(I \otimes P_b)U]$$

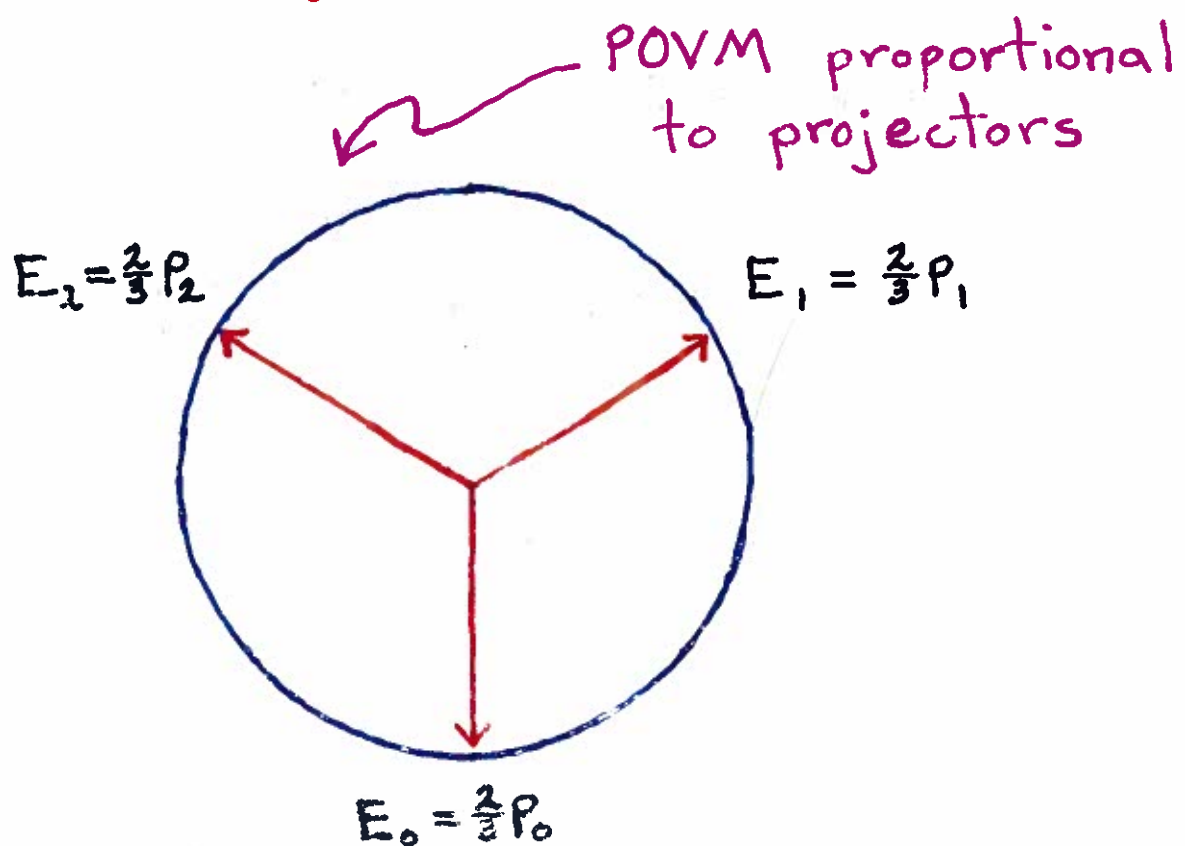


POVM element

But why POVMs ?

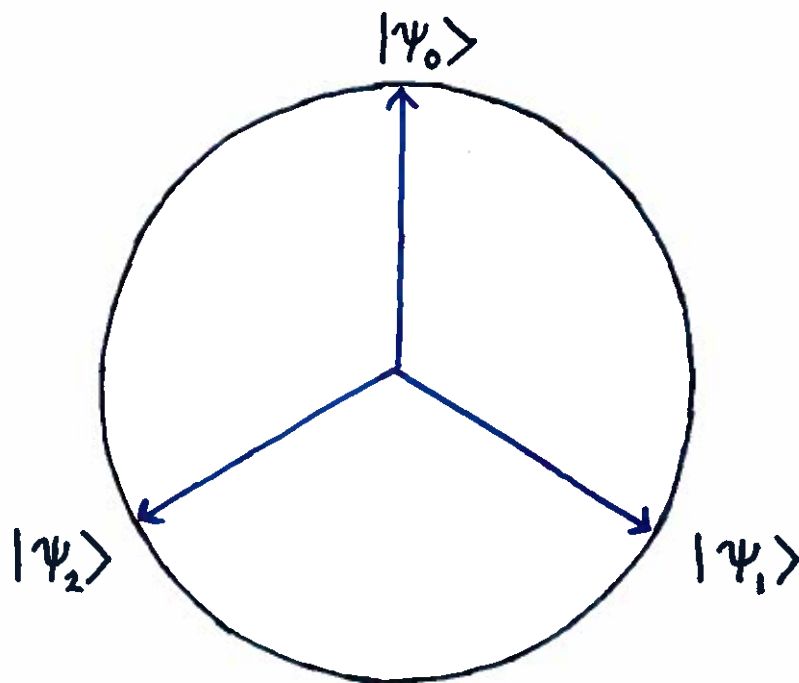


Needed!



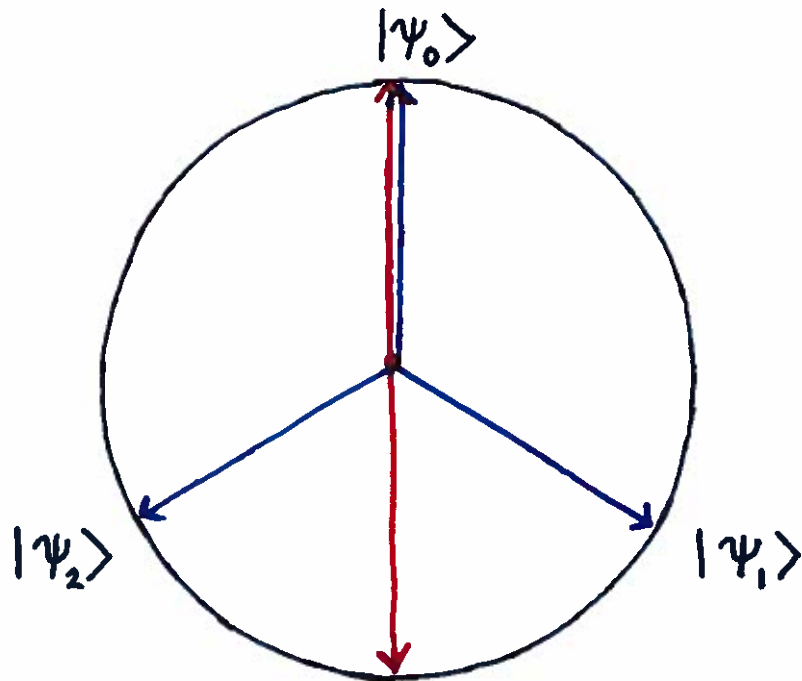
But why POVMs?

Needed!



But why POVMs?

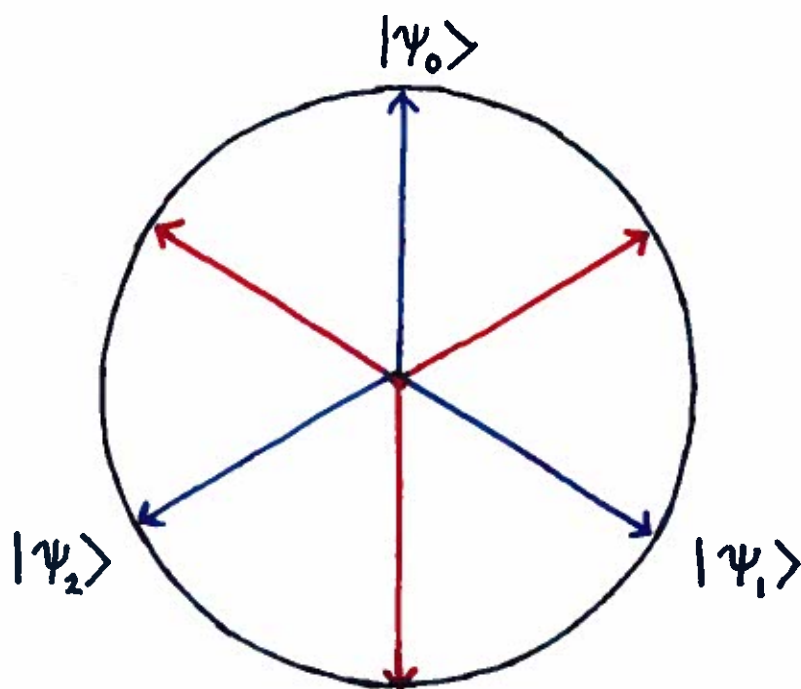
Needed!



Sometimes eliminates one,  
but not always.

But why POVMs?

Needed!



Always eliminates one.

Why not take POVMs  
as basic notion of measurement

?

| Standard<br>Measurements                                           | Generalized<br>Measurements                                      |
|--------------------------------------------------------------------|------------------------------------------------------------------|
| $\{\pi_i\}$                                                        | $\{E_b\}$                                                        |
| $\langle \psi   \pi_i   \psi \rangle \geq 0, \forall  \psi\rangle$ | $\langle \psi   E_b   \psi \rangle \geq 0, \forall  \psi\rangle$ |
| $\sum_i \pi_i = \mathbb{I}$                                        | $\sum_b E_b = \mathbb{I}$                                        |
| $p(i) = \text{tr } \rho \pi_i$                                     | $p(b) = \text{tr } \rho E_b$                                     |
| $\pi_i \pi_j = \delta_{ij} \pi_i$                                  | <u>          </u>                                                |

Does this extra assumption  
really make the process  
any less mysterious

?

| Standard<br>Measurements                                                | Generalized<br>Measurements                                           |
|-------------------------------------------------------------------------|-----------------------------------------------------------------------|
| $\{\pi_i\}$                                                             | $\{E_b\}$                                                             |
| $\langle \psi   \pi_i   \psi \rangle \geq 0 \quad \forall  \psi\rangle$ | $\langle \psi   E_b   \psi \rangle \geq 0 \quad \forall  \psi\rangle$ |
| $\sum_i \pi_i = I$                                                      | $\sum_b E_b = I$                                                      |
| $p(i) = \text{tr } \rho \pi_i$                                          | $p(b) = \text{tr } \rho E_b$                                          |
| $\pi_i \pi_j = \delta_{ij} \pi_i$                                       |                                                                       |

Does that really make  
it any more mysterious  
?

# Density Operators

$$\rho \in \mathcal{L}(\mathcal{H}_d)$$

linear operators

complex vector space

catalog of uncertainties

---

1)  $\rho^\dagger = \rho$

2)  $\text{tr } \rho = 1$

3)  $\lambda_i(\rho) \geq 0$

eigenvalues

convex hull of the set  $\{|\psi\rangle\langle\psi| : |\psi\rangle \in \mathcal{H}_d\}$

# Gleason's Theorem

Let  $P(\mathcal{H}_d)$  be the set of 1-D projectors onto a (real or complex) vector space  $\mathcal{H}_d$  of dimension  $d \geq 3$ .

Suppose there exists a function  $f: P(\mathcal{H}_d) \rightarrow [0,1]$  such that

$$\sum_i f(\pi_i) = 1$$

whenever  $\{\pi_i\}$  forms a complete orthogonal set.

Theorem: Then there exists a density operator  $\rho$ , such that

$$f(\pi) = \text{tr } \rho \pi.$$

---

Main Assumptions (my take):

1. Measurements are incompatible.  
No good notion of measuring  $\{\pi_i\}$  AND  $\{\tilde{\pi}_i\}$ .
2. Noncontextuality of probabilities.  
 $\text{Prob}(i | \{\pi_k\}) = f(\pi_i).$

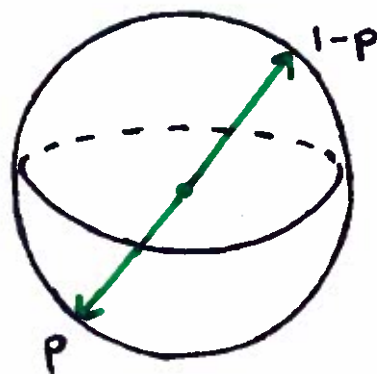
# Comments

1) Proof is long, hard, ugly.

- a) Reduce problem to checking  $\mathbb{R}^3$ .
- b) Prove continuity of  $f$ .
- c) Expand  $f$  in spherical harmonics and massage.

2) Doesn't work for  $d=2$ .

Prompts people to say "there's nothing quantum about a single qubit."



3) Gave Dave Meyer another PRL!

Theorem fails for fields other than  $\mathbb{C}$  and  $\mathbb{R}$ , like

$\mathbb{Q}$  — the rationals.

## Proof (for rational $\mathcal{H}$ )

Consider  $E_1, E_2 \in \mathcal{P}$  s.t.  $E_1 + E_2 \in \mathcal{P}$ .

Embed in 3-outcome POVM.

$$f(E_1) + f(E_2) + f(E_3) = 1$$

$$f(E_1 + E_2) + f(E_3) = 1$$

$$\Rightarrow f(E_1 + E_2) = f(E_1) + f(E_2)$$

Similarly for integers  $p, q$ ,

$$f(E) = p f\left(\frac{1}{p}E\right) = q f\left(\frac{1}{q}E\right) \Rightarrow f\left(\frac{p}{q}E\right) = \frac{p}{q}f(E).$$

etc.

Note  $\mathcal{P}$  spans the space of operators.

Choose a complete basis  $E_i \in \mathcal{P}$ ,  $i=1, \dots, d^2$ .

$$f(E) = f\left(\sum_i \alpha_i E_i\right) = \sum_i \alpha_i f(E_i)$$

Define  $\rho$  to satisfy  $d^2$  equations

$$\text{tr } \rho E_i = f(E_i) \quad \leftarrow \text{numbers}$$

and use linearity of trace.

# Gleason-like Theorems

Caves, Fuchs,  
Manne, Renes  
.....  
Busch

## Assumptions

- 1) Measurements = POVMs
- 2) Noncontextuality

$$\text{Prob}(E_i | \{E_k\}) = \text{Prob}(E_i | \{\tilde{E}_k\})$$

when  $\{E_k\}$  and  $\{\tilde{E}_k\}$  share  $E_i$ .

---

I.e. Let  $f: \mathcal{P} \rightarrow [0,1]$  be such that

$$\sum_b f(E_b) = 1 \quad \text{whenever} \quad \sum_b E_b = I.$$

Thm:  $\exists \rho$ , s.t.  $f(E) = \text{tr} \rho E$ .

---

## Payoff:

- 1) Works for  $d=2$ .
- 2) Works for rational  $\mathcal{H}$ .
- 3) Proof easy.

# Extreme Points

Characterization 1:

$$\rho = |\psi\rangle\langle\psi|$$

Characterization 2:

$\rho$  is hermitian

$$\rho^2 = \rho$$

$$\text{tr } \rho = 1$$

Characterization 3:

$\rho$  is positive semi-definite

$$\text{tr } \rho = 1$$

$$\text{tr } \rho^2 = 1$$

# Remarkable Theorem

Jones & Linden, PRA 71 (2005)  
Flammia, (unpub, 2004)

Let  $A$  be Hermitian,  $A^\dagger = A$ .

Then,  $A = |\psi\rangle\langle\psi|$  if and only if

$$\text{tr } A^2 = \text{tr } A^3 = 1.$$

Proof:

$a_i$  — eigenvalues of  $A$

$$\operatorname{tr} A^2 = \sum_i a_i^2 = 1 \quad \Rightarrow \quad |a_i| \leq 1$$
$$1 - a_i \geq 0$$

$$0 = \operatorname{tr} A^2 - \operatorname{tr} A^3 = \sum_i a_i^2 (1 - a_i)$$

$$\Rightarrow a_i = 0 \text{ or } 1 - a_i = 0$$

$$\operatorname{tr} A^2 = 1 \Rightarrow a_i = 1 \text{ for one and only one } i.$$

QED

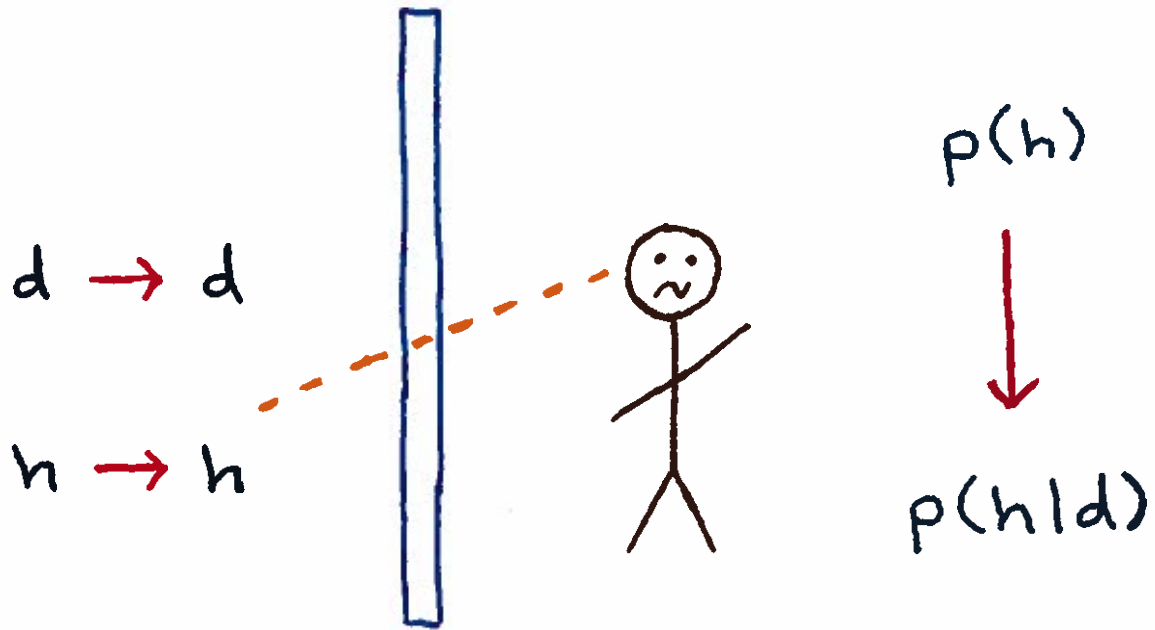
# The Weatherman



$p(h)$

$p(h,d)$

# The Weatherman

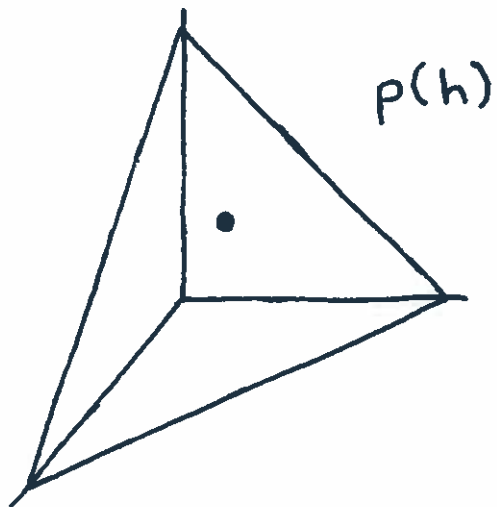


## Bayesian Updating

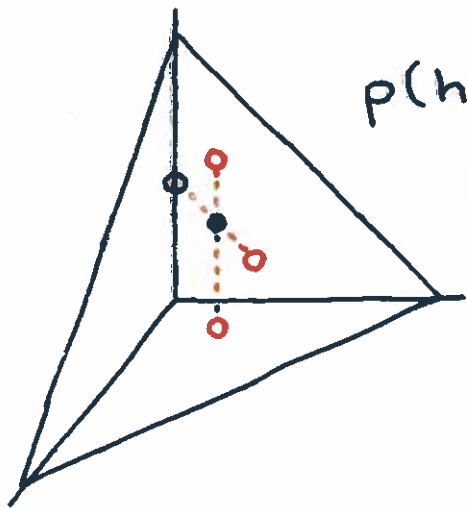
$$p(h) = \sum_d p(h, d)$$

$$= \sum_d p(d) \underbrace{p(h|d)}$$

$$p(h) \xrightarrow{d} p(h|d)$$

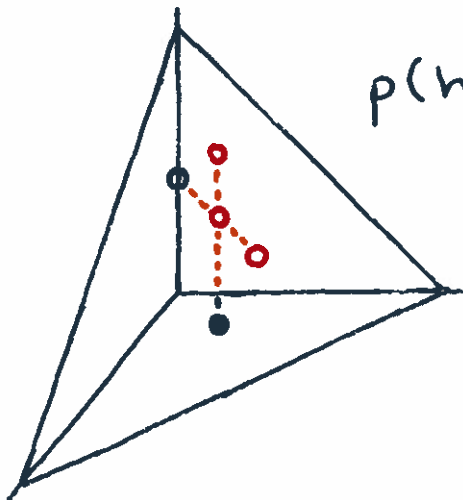


$p(h)$



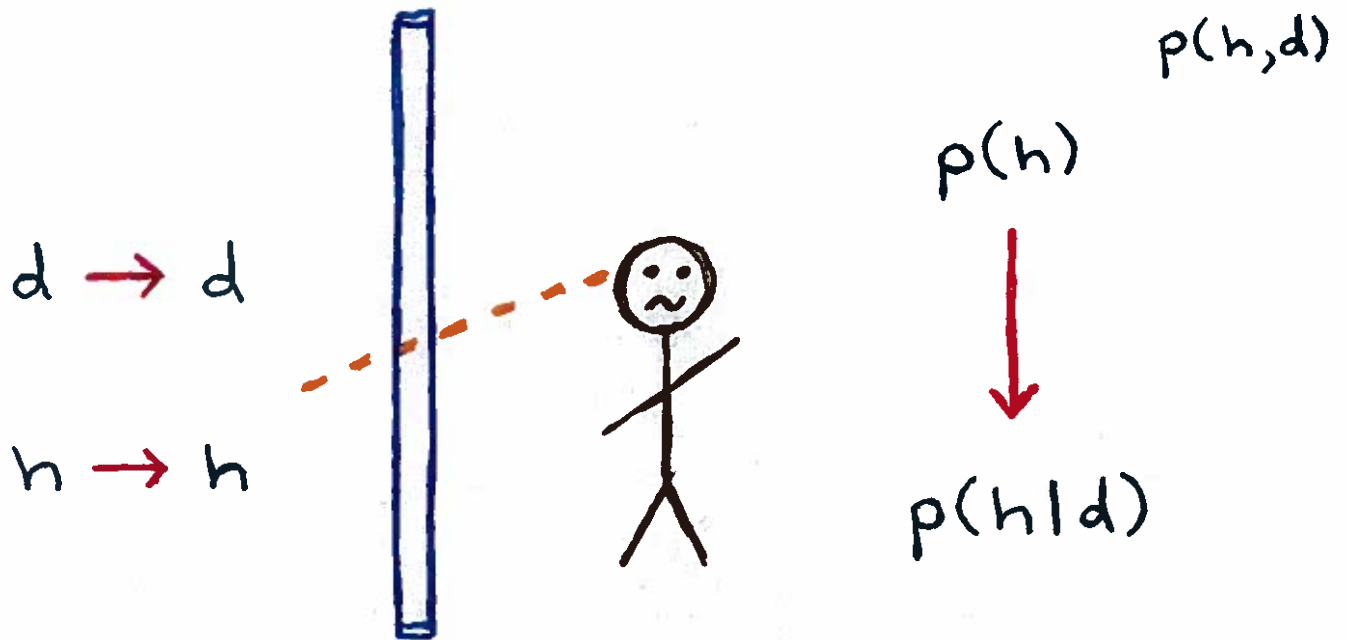
$$p(h) = \sum_d p(d)p(h|d)$$

"measurement"  
is any  
I-know-not-what  
that induces such  
a transition.



$p(h|d)$

# The Weatherman



Is this transition a mystery  
physics should contend with?

Even so, what does it have to  
do with the weather?

## Jim Hartle 1968 (*Section IV*) Interpretation of Quantum Mechanics (*suitably modified*)

Am. J. Phys. **36**, 704–712 (1968)

A quantum state, being a summary of the observers' information about an individual physical system, changes both by dynamical laws and whenever the observer acquires new information about the system through the process of measurement. The existence of two laws for the evolution of the state vector becomes problematical only if it is believed that the state vector is an objective property of the system. If the state of a system is defined as a list of [*experimental*] propositions together with [*their probabilities of occurrence*], it is not surprising that after a measurement the state must be changed to be in accord with the new information. The "reduction of the wave packet" does take place in the consciousness of the observer, not because of any unique physical process which takes place there, but only because the state is a construct of the observer and not an objective property of the physical system.

## Quantum Axiom 5:

Suppose  $S_1 \oplus S_2$  consists of two noninteracting systems (eg. space-like separated systems) and  $|\psi\rangle \in \mathcal{H}_1 \otimes \mathcal{H}_2$  is of the form

$$|\psi\rangle = \sum_i \sqrt{p_i} |e_i\rangle |\psi_i\rangle$$

for some orthonormal set  $|e_i\rangle \in \mathcal{H}_1$ , and  $|\psi_i\rangle \in \mathcal{H}_2$  with  $\langle \psi_i | \psi_i \rangle = 1$ . ( $\sum_i p_i = 1$ )

Then a measurement of  $\{|e_i\rangle\langle e_i|\}$  on  $S_1$  revealing outcome  $k$  leaves the observer in a maximal state of knowledge about  $S_2$ :

$$\text{state}(S_2 | k) = |\psi_k\rangle\langle\psi_k|.$$

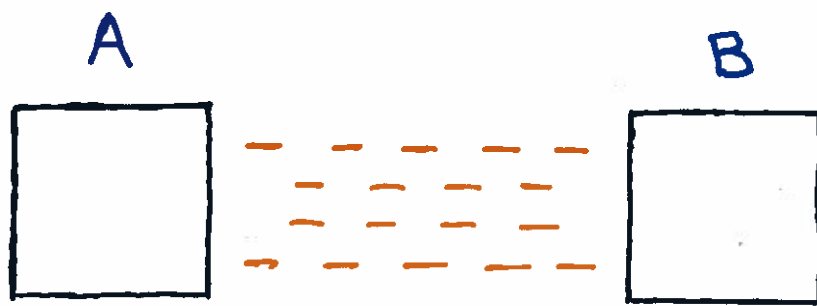
## The More Pure Einstein

**Granted:** “The individual system (before the measurement) has no definite value of  $q$  (or  $p$ ). The value of the measurement only arises in cooperation with the unique probability which is given to it in view of the  $\psi$ -function only through the act of measurement itself.”

**Consider spatially separated systems  $S_1$  and  $S_2$  initially attributed with an entangled quantum state  $\psi_{12}$ .**

“Now it appears to me that one may speak of the real factual situation at  $S_2$ . . . . [O]n one supposition we should, in my opinion, absolutely hold fast: the real factual situation of the system  $S_2$  is independent of what is done with  $S_1$ . . . . According to the type of measurement which I make of  $S_1$ , I get, however, a very different  $\psi_2$  for  $[S_2]$ . . . . For the same real situation of  $S_2$  it is possible therefore to find, according to one’s choice, different types of  $\psi$ -function.

If now [physicist B] accepts this consideration as valid, then [he] will have to give up his position that the  $\psi$ -function constitutes a complete description of a real factual situation. For in this case it would be impossible that two different types of  $\psi$ -functions could be coordinated with the identical factual situation of  $S_2$ .”



$$|\Phi\rangle = \frac{1}{\sqrt{2}} (|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle)$$

Let Alice measure  $|\uparrow\rangle, |\downarrow\rangle$  basis.  
 Bob's system will be in state  
 $|\uparrow\rangle$  or  $|\downarrow\rangle$  afterward.

Let Alice measure  $|\rightarrow\rangle, |\leftarrow\rangle$  basis.  
 Bob's system will be in state  
 $|\leftarrow\rangle$  or  $|\rightarrow\rangle$  afterward.

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## Conclusion

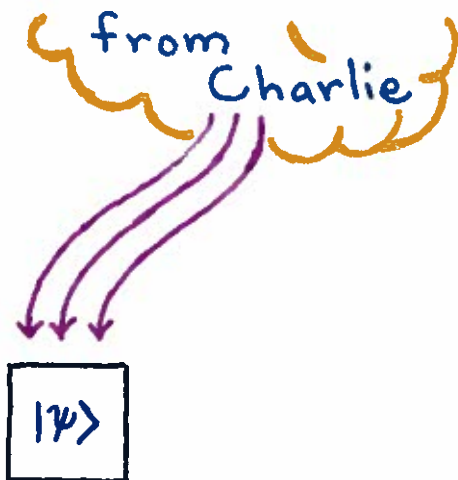
$|\Psi\rangle$  is information.

Application 1:

Quantum  
Teleportation

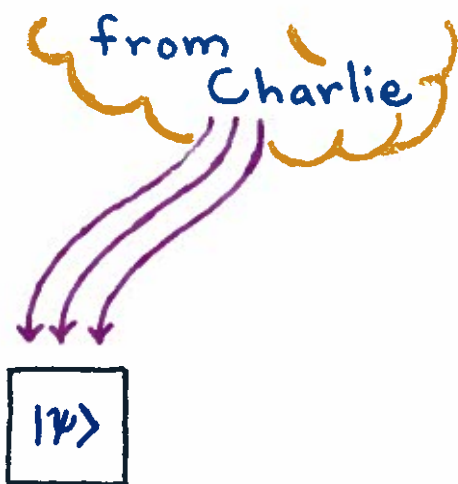
(Bennett, Brassard, Crépeau,  
Jozsa, Peres, Wootters)





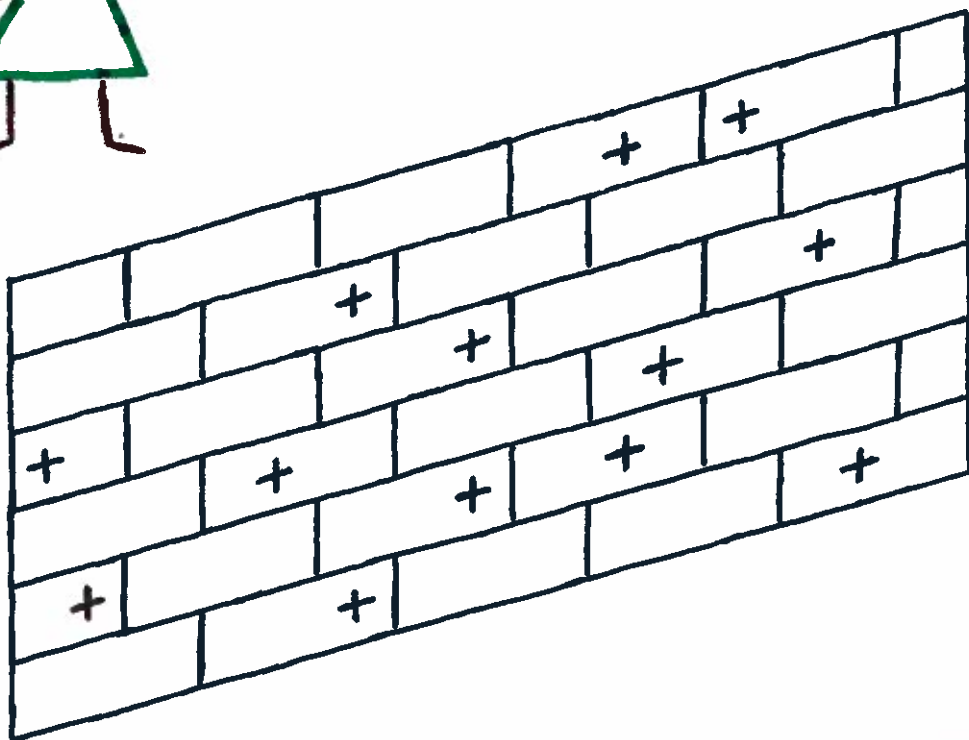
$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

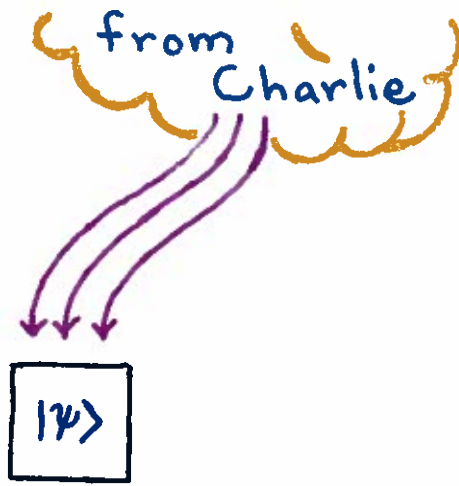




$|\psi\rangle$

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$



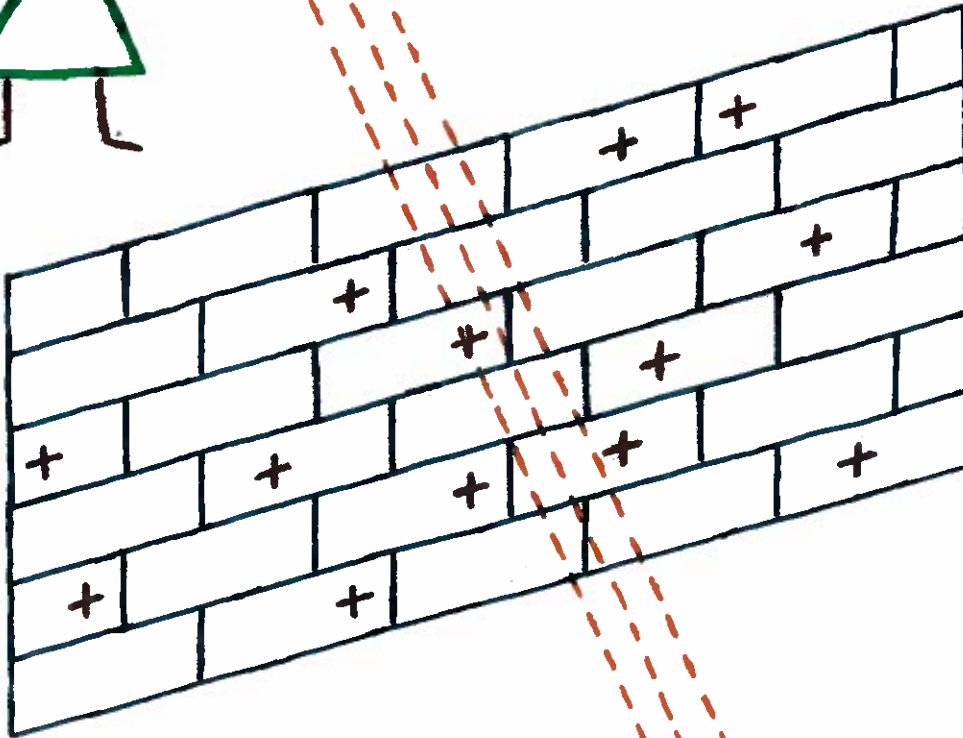


$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$



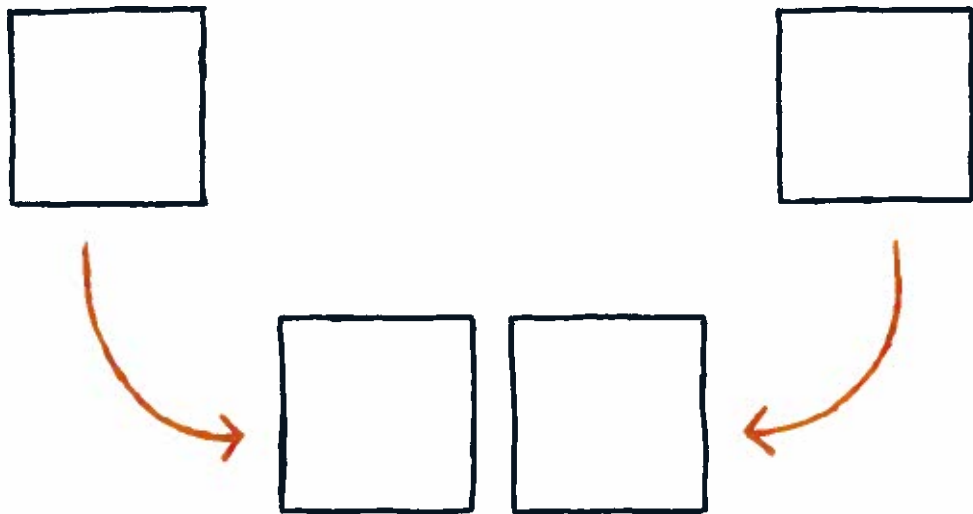


$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$



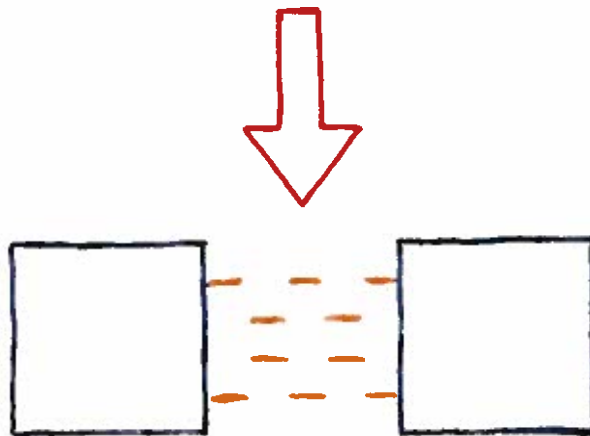
## A Tool

### Entangled Measurements



Measure  $\mathcal{H} = \sum_i \lambda_i |e_i\rangle \langle e_i|$

$|e_i\rangle$  — entangled vectors



## Example: The Bell Basis

The states

$$|\Psi^-\rangle = \frac{1}{\sqrt{2}}(|0\rangle|1\rangle - |1\rangle|0\rangle)$$

$$|\Psi^+\rangle = \frac{1}{\sqrt{2}}(|0\rangle|1\rangle + |1\rangle|0\rangle)$$

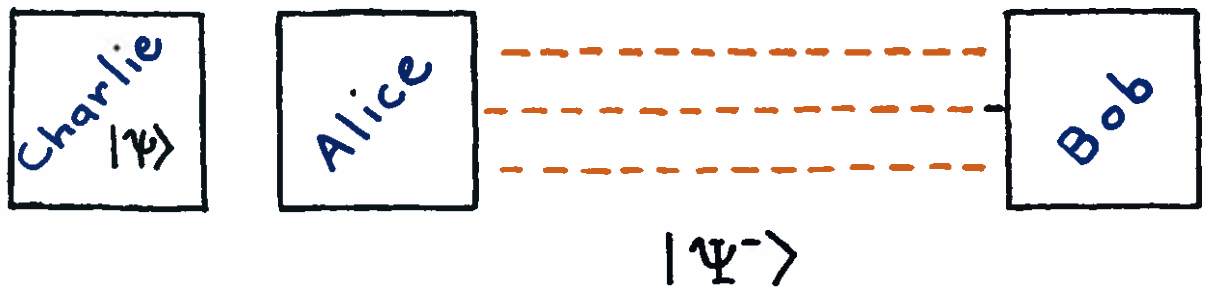
$$|\Phi^-\rangle = \frac{1}{\sqrt{2}}(|0\rangle|0\rangle - |1\rangle|1\rangle)$$

$$|\Phi^+\rangle = \frac{1}{\sqrt{2}}(|0\rangle|0\rangle + |1\rangle|1\rangle)$$

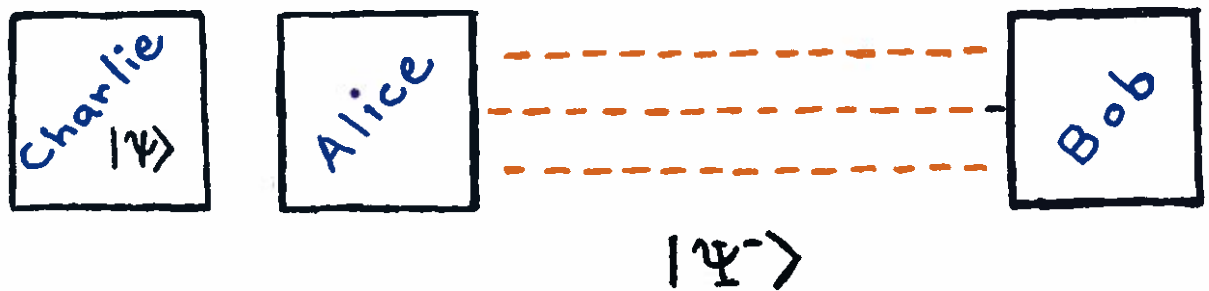
form an orthonormal basis  
for  $\mathcal{H}_2 \otimes \mathcal{H}_2$ .

They are all nicely entangled!

# Teleportation



# Teleportation



$$|\psi\rangle|\Psi^-\rangle = (\alpha|0\rangle + \beta|1\rangle)\frac{1}{\sqrt{2}}(|0\rangle|1\rangle - |1\rangle|0\rangle)$$

$$= \frac{\alpha}{\sqrt{2}}(|0\rangle|0\rangle|1\rangle - |0\rangle|1\rangle|0\rangle) + \frac{\beta}{\sqrt{2}}(|1\rangle|0\rangle|1\rangle - |1\rangle|1\rangle|0\rangle)$$

$$= \frac{1}{2}|\Psi^-\rangle(-\alpha|0\rangle - \beta|1\rangle)$$

$$+ \frac{1}{2}|\Psi^+\rangle(-\alpha|0\rangle + \beta|1\rangle)$$

$$+ \frac{1}{2}|\Phi^-\rangle(\beta|0\rangle + \alpha|1\rangle)$$

$$+ \frac{1}{2}|\Phi^+\rangle(-\beta|0\rangle + \alpha|1\rangle)$$

$$= \frac{1}{2}|\Psi^-\rangle(-I|\psi\rangle) + \frac{1}{2}|\Psi^+\rangle(-\sigma_z|\psi\rangle)$$

$$+ \frac{1}{2}|\Phi^-\rangle(\sigma_x|\psi\rangle) + \frac{1}{2}|\Phi^+\rangle(i\sigma_y|\psi\rangle)$$

Note:

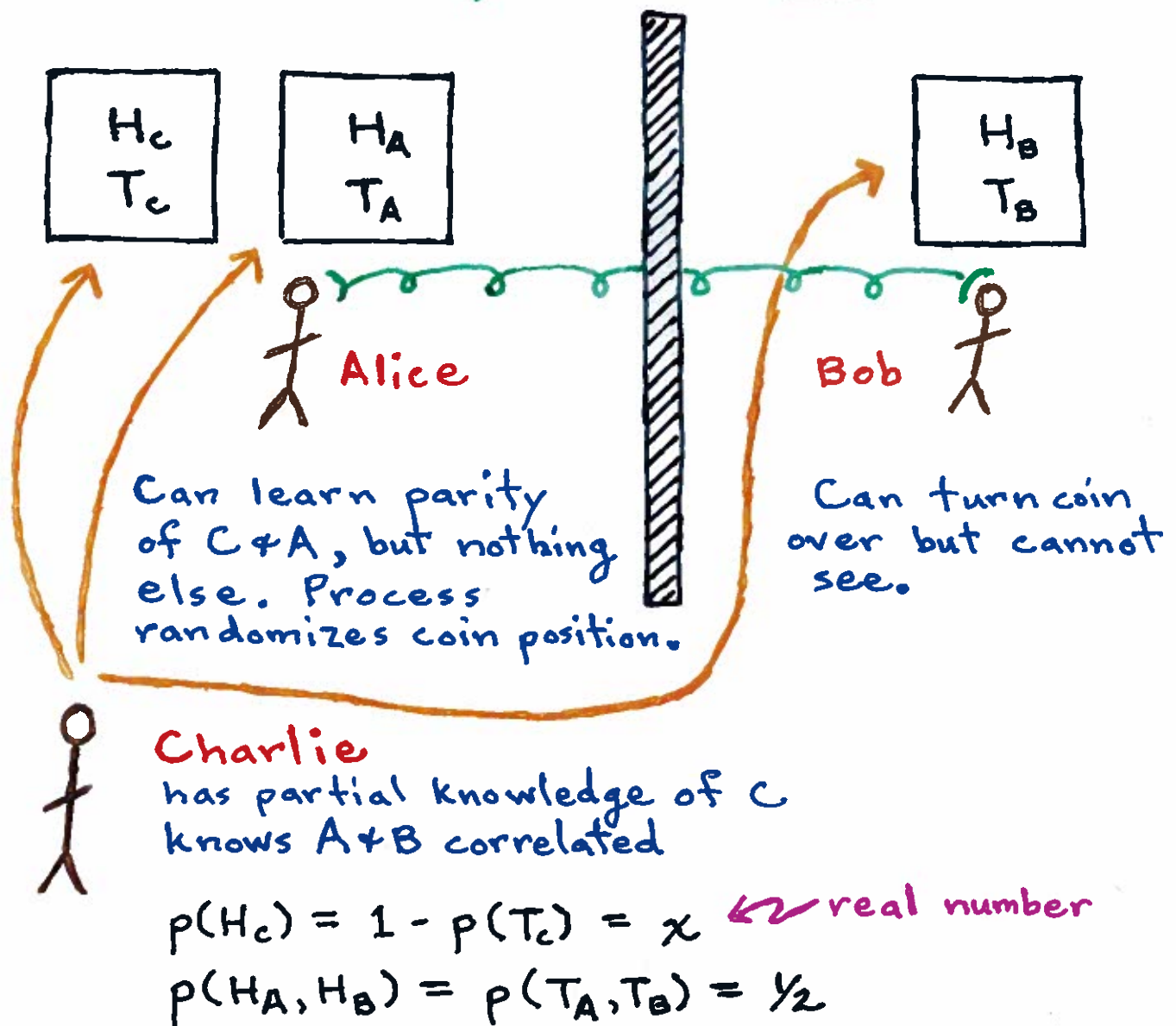
- 1) Alice gains no information about  $\alpha, \beta$ .
- 2) Teleportation not complete until Bob receives classical information. (Only 2 bits!)
- 3) Entanglement itself can be teleported.

# Summary

Entanglement

Good !

# Belief Teleportation?



Teleportation:

- 1) Alice checks parity, announces result Bob
- 2) Bob turns coin over if parity odd; otherwise does nothing

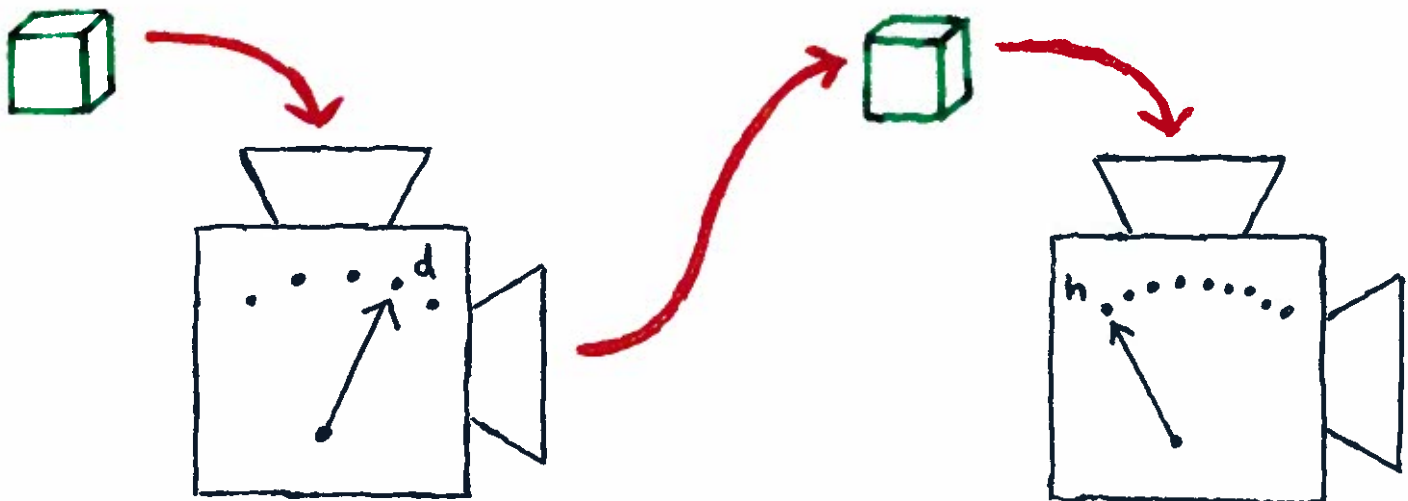
Upshot:  $p(H_C) \longrightarrow p(H_B)$

## Weatherman:

How does learning today's weather modify his expectations for tomorrow's?

## Quantum Observer:

How does learning the consequence of this measurement interaction modify his expectations for the consequence of that measurement interaction?



# Objects of Interest

Weatherman:

Ignorance of what is.

Quantum Observer:

Ignorance of what would  
come about if.



"the" quantum state

## "Measurement"

Does it reveal a pre-existing,  
but unknown, value?

or

Does it in some sense go toward  
creating the very value?

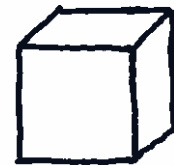
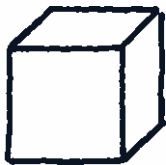
## EPR Criterion of Reality

"If, without in any way disturbing a system [one can gather the information required to] predict with certainty (i.e., with probability equal to unity) the value of a physical quantity, then there exists an element of physical reality corresponding to this physical quantity."

## Motivated by EPR

Consider two spatially separated qubits in a maximally entangled state:

$$|EPR\rangle = \sum_{i=1}^3 |i\rangle|i\rangle$$

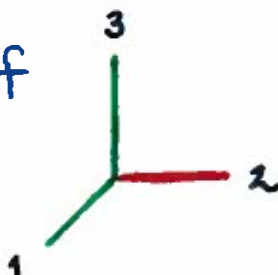
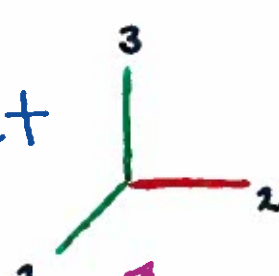


Assume locality.

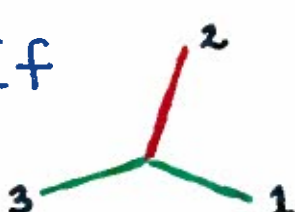
Now measure the left one any way you like. Say with A or B, two nondegenerate noncommuting observables.



So measurement is simple  
revelation after all?

If  here,  
can predict  there.

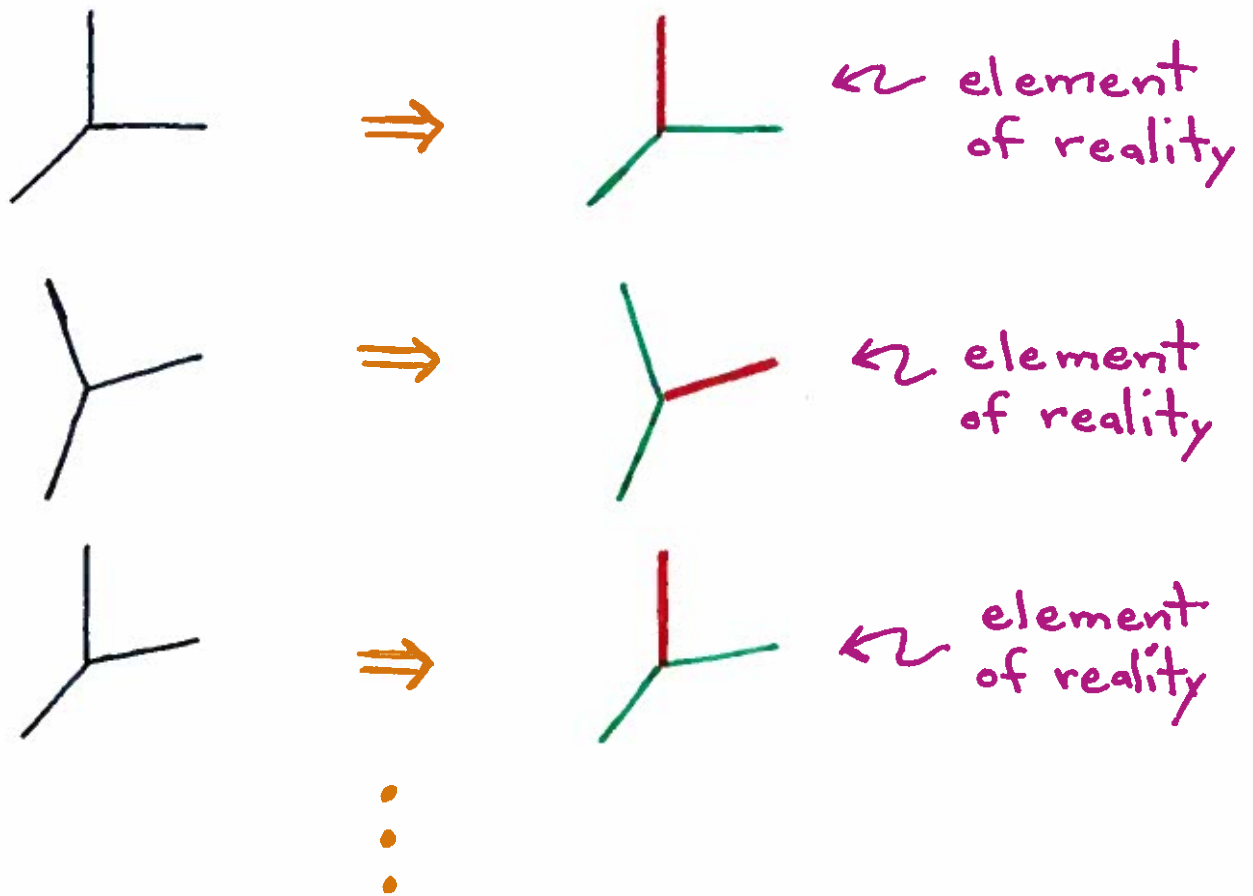
  
element of  
reality

If  here,  
can predict  there.

  
element of  
reality

# EPR Still Implodes

But must consider many more bases than two. ( $\sim 44-46$ )



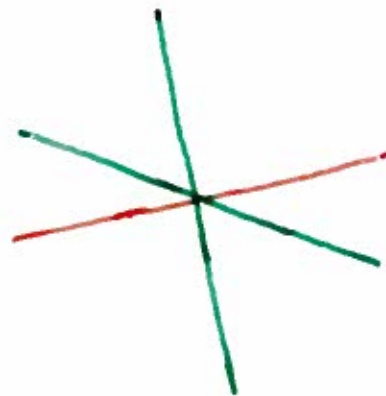
Until contradiction.

(Hint, think of Kochen-Specker.)

## Kochen-Specker

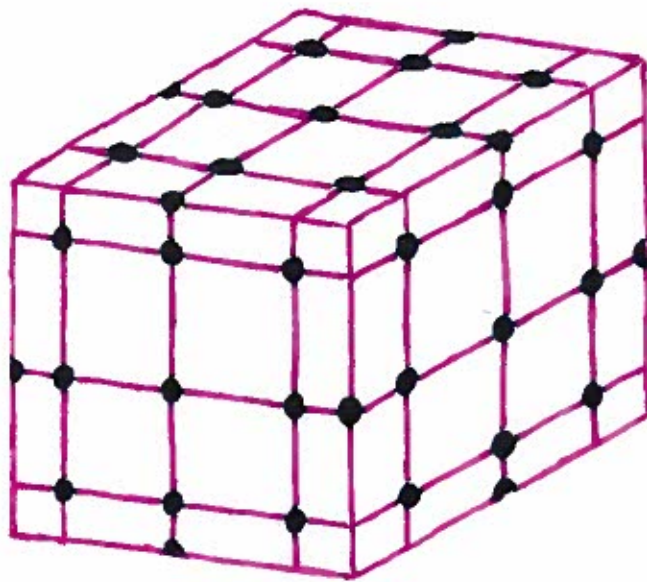
Suppose they did pre-exist.

Then we should be able to  
color every set of orthogonal  
rays in  $\mathbb{R}^3$  red-green-green.



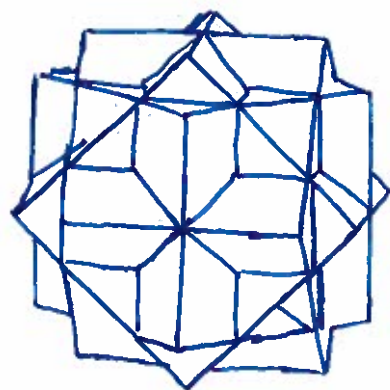
# Kochen - Specker

Cannot be colored:



33 rays , Peres

(when completed into full triads, consists of 40 triads made from 57 rays)



# World Competition

## $d = 3$

| <u><math>n</math></u> | <u>Who</u>         |
|-----------------------|--------------------|
| 117                   | Kochen and Specker |
| 109                   | Jost               |
| 33                    | Schütte            |
| 33                    | Peres              |
| 33                    | Penrose            |
| 31                    | Conway and Kochen  |

## $d = 4$

|    |                   |
|----|-------------------|
| 40 | Penrose           |
| 28 | Zimba and Penrose |
| 24 | Peres             |
| 20 | Kernaghan         |
| 18 | Cabello, et. al.  |

## $d = 5$

⋮

# Cabello's 18-Ray Proof in $\mathcal{H}_4$

|       |       |        |        |       |        |       |       |       |
|-------|-------|--------|--------|-------|--------|-------|-------|-------|
| 0001  | 0001  | 1-11-1 | 1-11-1 | 0010  | 1-1-11 | 11-11 | 11-11 | 111-1 |
| 0010  | 0100  | 1-1-11 | 1111   | 0100  | 1111   | 111-1 | -1111 | -1111 |
| 1100  | 1010  | 1100   | 10-10  | 1001  | 100-1  | 1-100 | 1010  | 1001  |
| 1-100 | 10-10 | 0011   | 010-1  | 100-1 | 01-10  | 0011  | 010-1 | 01-10 |

Each column represents an orthonormal basis.

So, in each column one ray will be assigned 1, and the other three 0, at the conclusion of mmf.

Summing the values gives 9.

But each ray appears twice; preexistence of values would then necessitate an even result.

9 is not even.

**Contradiction!**

Quantum measurements  
are generative:

Their outcomes do not  
pre-exist before the  
measurement interaction;  
they arise from the very  
process.

$P(h)$



~~states of  
pre-existent  
reality~~

consequences of  
"measurement"  
interactions

## The Pauli'an Idea, 1

[Einstein and I] often discussed these questions, and I invariably profited very greatly even when I could not agree with Einstein's views. "Physics is after all the description of reality," he said to me, continuing, with a sarcastic glance in my direction, "or should I perhaps say physics is the description of what one merely imagines?" This question clearly shows Einstein's concern that the objective character of physics might be lost through a theory of the type of quantum mechanics, in that as a consequence of its wider conception of objectivity of an explanation of nature the difference between physical reality and dream or hallucination become blurred.

The objectivity of physics is however fully ensured in quantum mechanics in the following sense. Although in principle, according to the theory, it is in general only the statistics of series of experiments that is determined by laws, the observer is unable, even in the unpredictable single case, to influence the result of his observation—as for example the response of a counter at a particular instant of time. ~~Further, personal qualities of the of the observer do not come into the theory in any way the observation can be made by objective registering apparatus, the results of which are objectively available for anyone's inspection.~~ Just as in the theory of relativity a group of mathematical transformations connects all possible coordinate systems, so in quantum mechanics a group of mathematical transformations connects the possible experimental arrangements.

Einstein however advocated a narrower form of the reality concept . . .

— Wolfgang Pauli

"Albert Einstein and the Development of Physics," 1958

# What is a state vector?

(A. Peres, Am. J. Phys. 52, 644 (1984))

## Information!

- compared collapse to Bayesian updating
- old Einstein argument that real states of affairs cannot be toggled from a distance
- demystified quantum teleportation

## Unperformed experiments have no results

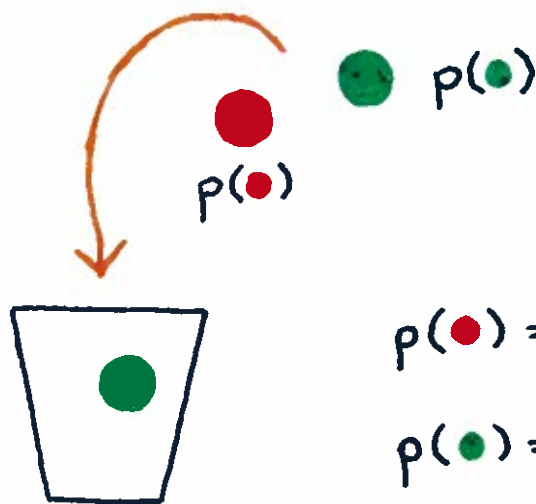
(A. Peres, Am. J. Phys. 46, 745 (1978))

## Amen!

- Gleason's Theorem with POVMs
- EPR criterion of reality fails
- Cabello's Kochen-Specker construction

# Shannon Information

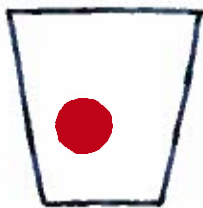
The "information" we gather in an experiment depends upon our prior expectations.



$$p(\bullet) = \frac{1}{2}$$

$$p(\bullet) = \frac{1}{2}$$

a lot to be gained



$$p(\bullet) = .90$$

$$p(\bullet) = .10$$

little to be gained

# Shannon Information

set of discrete probabilities

$$\Gamma_m = \{ (p_1, \dots, p_m) \mid p_j \geq 0, \sum_{j=1}^m p_j = 1 \}$$

$$\Gamma = \bigcup_m \Gamma_m$$

information  $H: \Gamma \longrightarrow \mathbb{R}_+$

1)  $H(A, B) \leq H(A) + H(B)$

2)  $H(A, B) = H(A) + H(B)$  if  $A \not\leftrightarrow B$

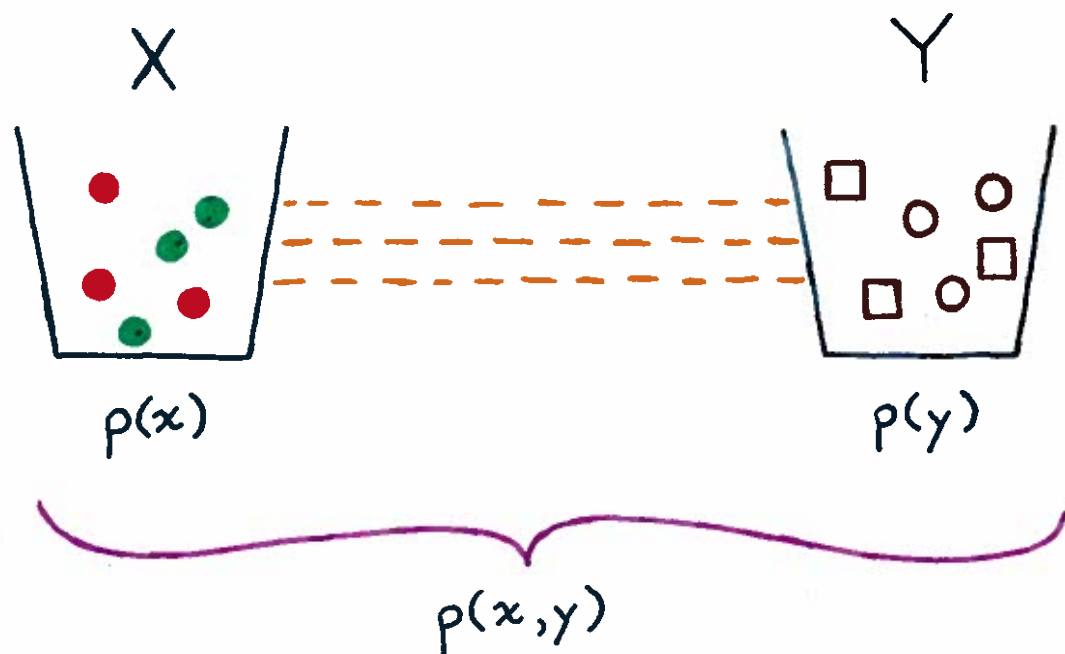
3)  $H(p_1, p_2, \dots, p_m)$  — perm. invariant

4)  $H(p_1, \dots, p_m, 0) = H(p_1, \dots, p_m)$

5)  $\lim_{p \rightarrow 0} H(p, 1-p) = 0$

$$H(p_1, \dots, p_m) = -C \sum_{j=1}^m p_j \log_2 p_j$$

# Classical Information



$$\begin{aligned} I(X, Y) &= H(X) + H(Y) - H(X, Y) \\ &= H(Y) - H(Y|X) \end{aligned}$$

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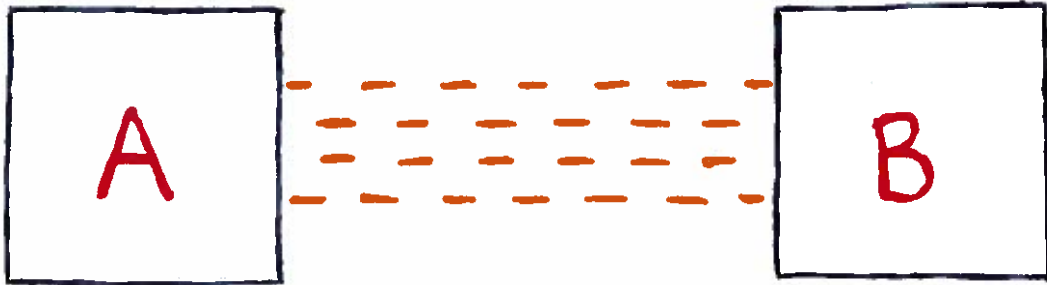
$$H(X, Y) = - \sum_{x, y} p(x, y) \log p(x, y)$$

$$H(Y|X) = \sum_x p(x) H(Y|x)$$

$$= - \sum_{x, y} p(x) p(y|x) \log p(y|x)$$

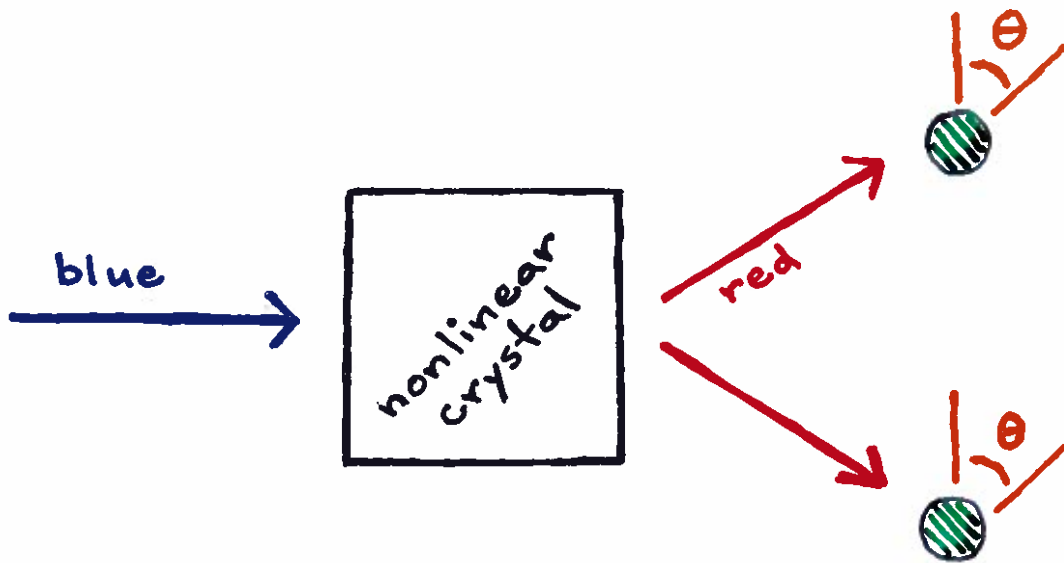
e.t.c.

# Entanglement



If **A** and **B** are entangled,  
measurements on **A**  
can reveal an "unnatural"  
amount of information about **B**.

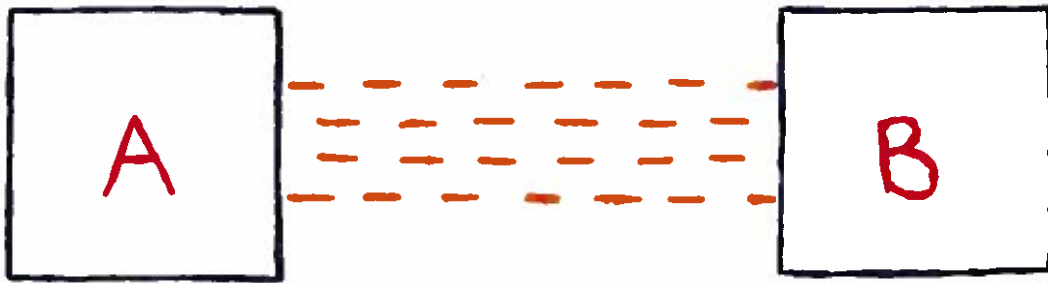
## Type-II Parametric Down Conversion



$$|\psi\rangle = |\uparrow\rangle|\leftrightarrow\rangle - |\leftrightarrow\rangle|\uparrow\rangle$$

Always one photon passes and one gets absorbed regardless of  $\theta$ .

# What's Unnatural About That ?



Maybe **A** and **B** just big  
instruction sets ?

If  $\theta = 30^\circ$ , P.

If  $\theta = 31^\circ$ , A.

If  $\theta = 32^\circ$ , A.

⋮

If  $\theta = 30^\circ$ , A.

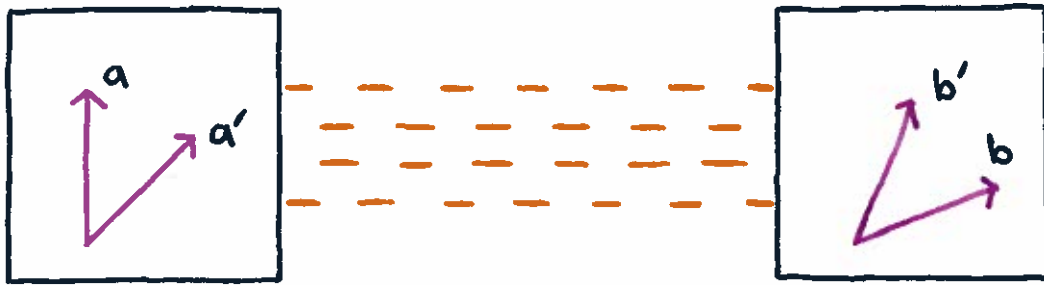
If  $\theta = 31^\circ$ , P.

If  $\theta = 32^\circ$ , P.

⋮

Oh yeah?!

Consider variables



with  $\angle ab' = \angle b'a' = \angle a'b = \theta/3$ .

EPR:  $a, b', a', b \in \{+, -\}$ ,  
we just don't know which.

I.e.  $p(a, b', a', b)$  exists!

But, "information" in  
 $p(\cdot, \cdot, \cdot, \cdot)$  not consistent with  
quantum mechanics.

## Bell Theorems

Assume  $H(A, B', A', B)$  exists.

$$H(A, B', A', B) = H(B) + H(A' | B) + H(B' | A', B) + H(A | B', A', B)$$


$$\leq H(B) + H(A' | B) + H(B' | A') + H(A | B')$$

But

$$H(A, B) \leq H(A, B', A', B).$$

With

$$H(A | B) = H(A, B) - H(B)$$



$$0 \leq H(A | B') + H(B' | A') + H(A' | B) - H(A | B)$$

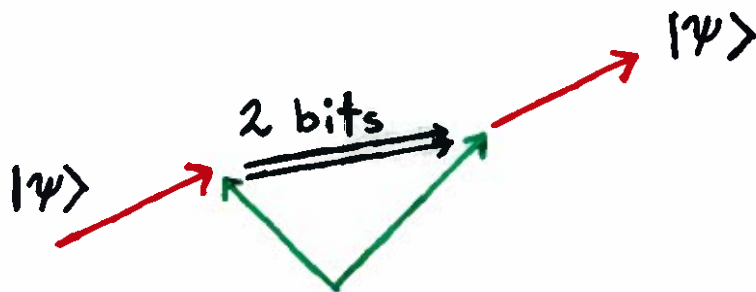
Not true for small  $\theta$  !

# Unknown States

We try to clone them:

$$|\psi\rangle \not\rightarrow |\psi\rangle|\psi\rangle$$

We teleport them:

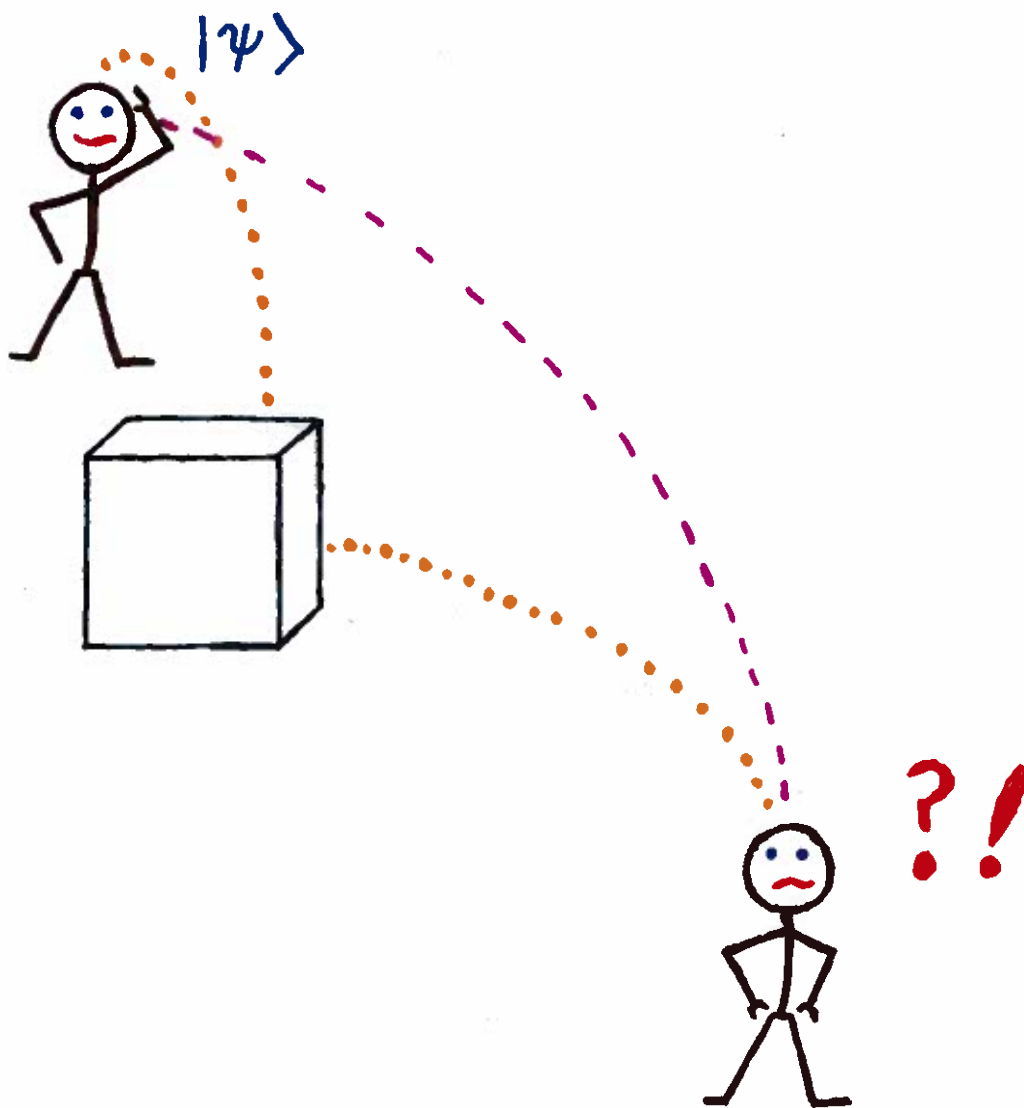


We protect them:

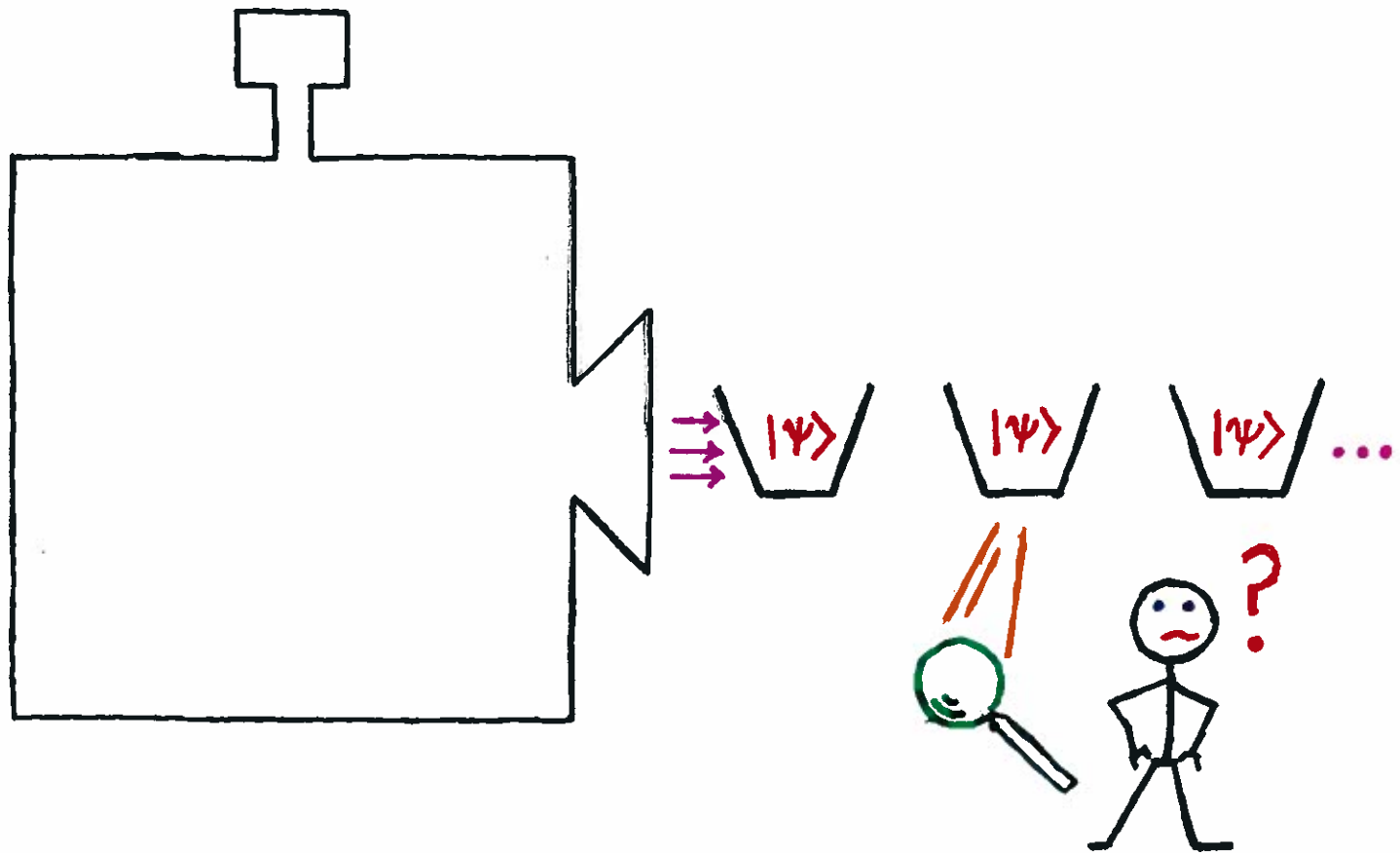
$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

$$\begin{aligned} \longrightarrow & \alpha(|000\rangle + |111\rangle)^{\otimes 3} \\ & + \beta(|000\rangle - |111\rangle)^{\otimes 3} \end{aligned}$$

# Unknown States?



# Quantum State Tomography



# Tomography on a Qubit

Operator space is a linear vector space in its own right.

$$(\hat{A}, \hat{B}) = \text{tr } \hat{A}^\dagger \hat{B} \quad \text{— inner product}$$

If state is  $\hat{\Pi} = |\psi\rangle\langle\psi|$ ,  
"projections"

$$1 = |\langle\psi|\psi\rangle|^2 = \text{tr } \hat{\Pi} \hat{I}$$

$$\overline{\sigma_x} = \langle\psi|\hat{\sigma}_x|\psi\rangle = \text{tr } \hat{\Pi} \hat{\sigma}_x$$

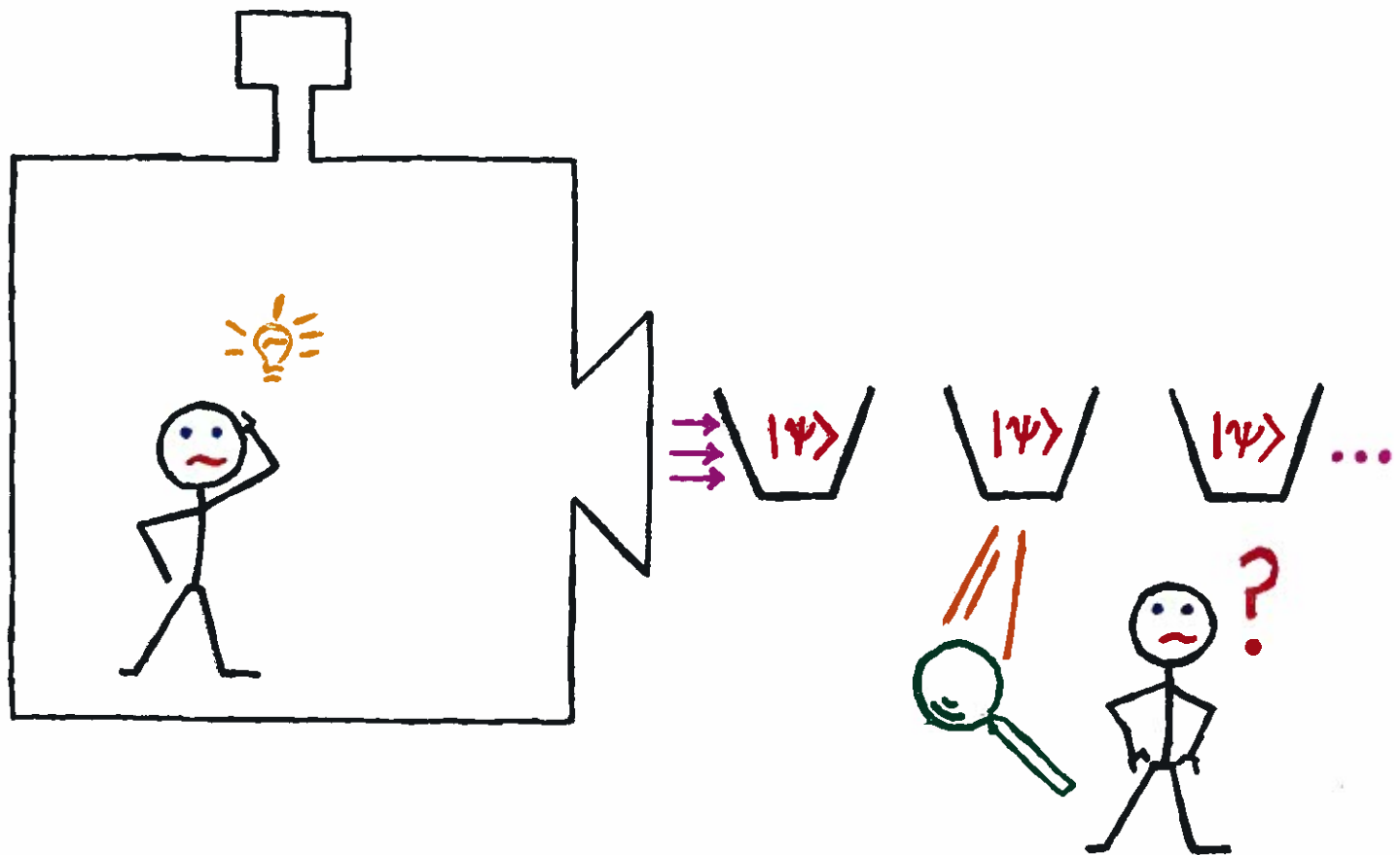
$$\overline{\sigma_y} = \langle\psi|\hat{\sigma}_y|\psi\rangle = \text{tr } \hat{\Pi} \hat{\sigma}_y$$

$$\overline{\sigma_z} = \langle\psi|\hat{\sigma}_z|\psi\rangle = \text{tr } \hat{\Pi} \hat{\sigma}_z$$

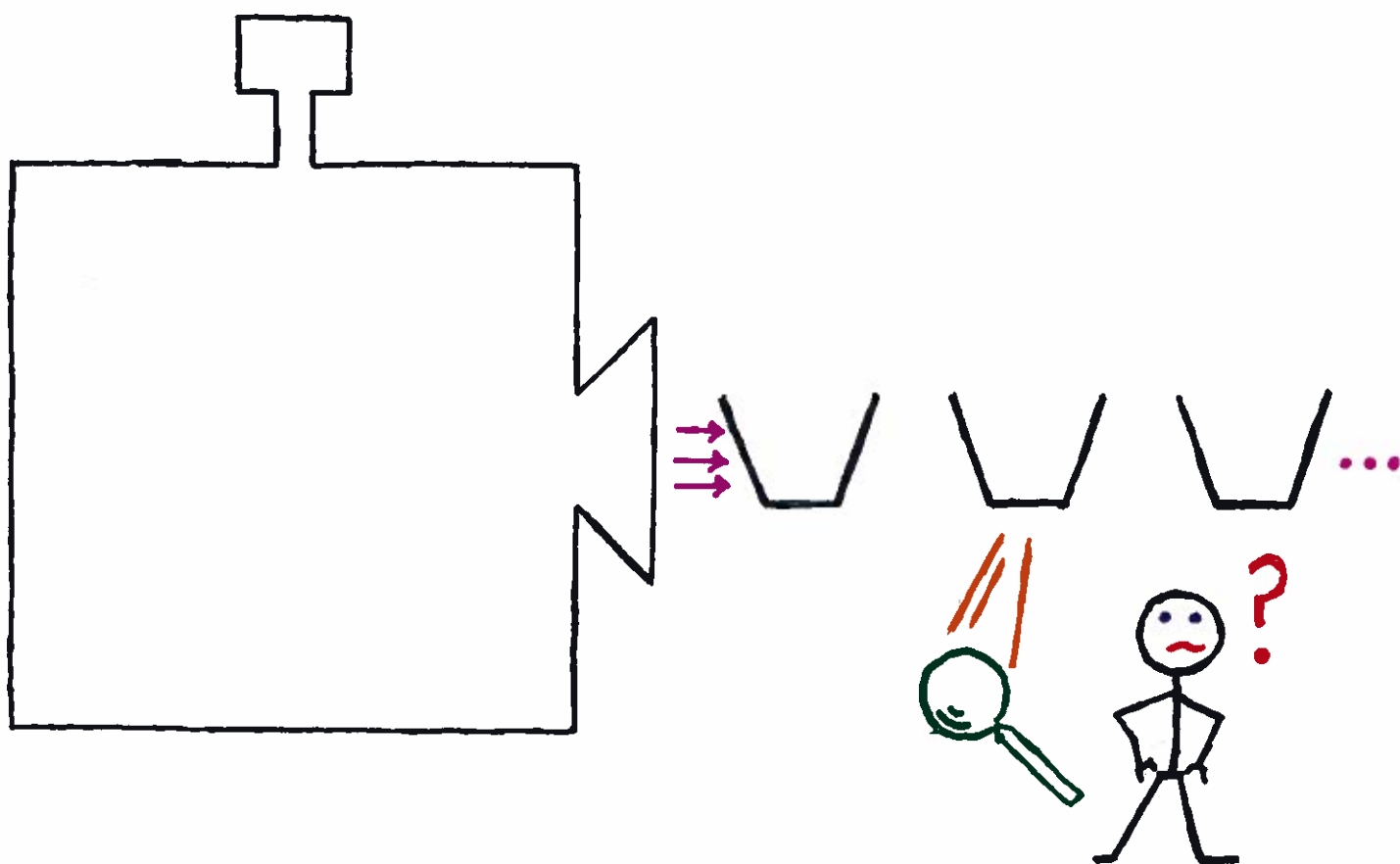
fix the state uniquely.

$$\hat{I}, \hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z \quad \text{— linearly indep.}$$

# Quantum State Tomography



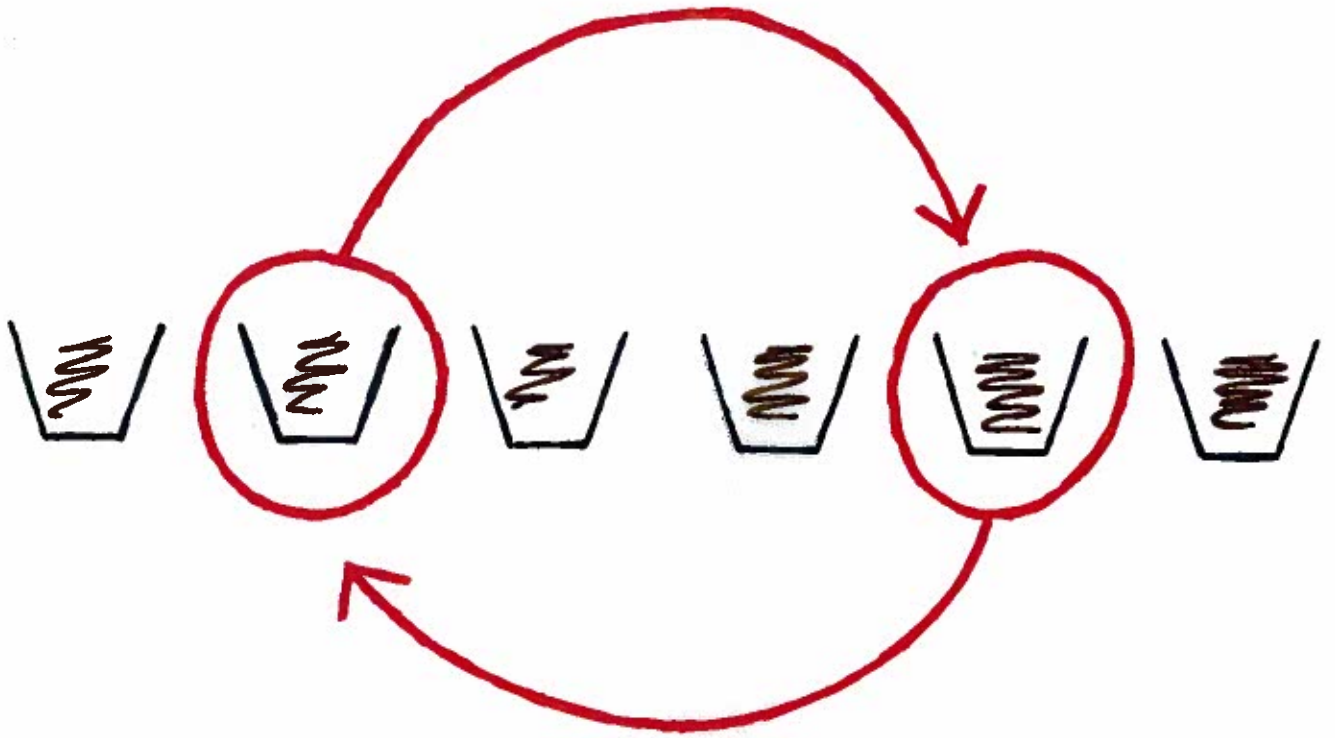
# Quantum State Tomography



Essence is that  $\hat{\rho}_0$  evolve  
toward  $\hat{\rho} \otimes \hat{\rho} \otimes \hat{\rho} \dots$  with mmt.

nothing left to  
be "learned"

# Condition for Tomography



$$\hat{\rho}^{(n)} \longrightarrow \hat{\rho}^{(n)}$$

# A Quantum de Finetti?

Can exchangeability (suitably defined) exorcise box boy?

Candidates:

$\hat{\rho}^{(n)} \in \mathcal{B}(\mathcal{H}^{\otimes n})$  is  $n$ -exchangeable  
if permutation invariant

$\{\hat{\rho}^{(n)}\}_{n=1}^{\infty}$  is an exchangeable  
sequence if

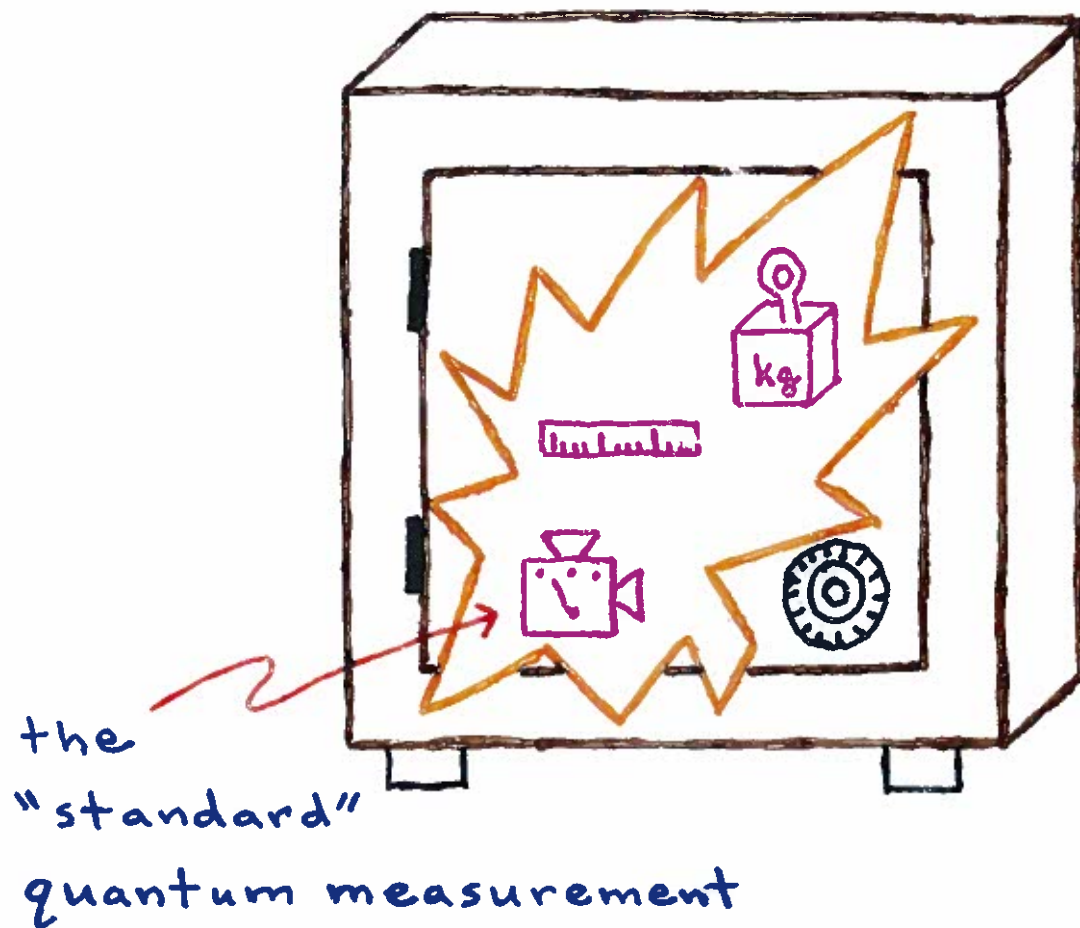
$$1) \quad \hat{\rho}^{(n)} = \text{tr}_{n+1} \hat{\rho}^{(n+1)} \quad \forall n$$

$$2) \quad \hat{\rho}^{(n)} \text{ } n\text{-exchangeable } \forall n$$

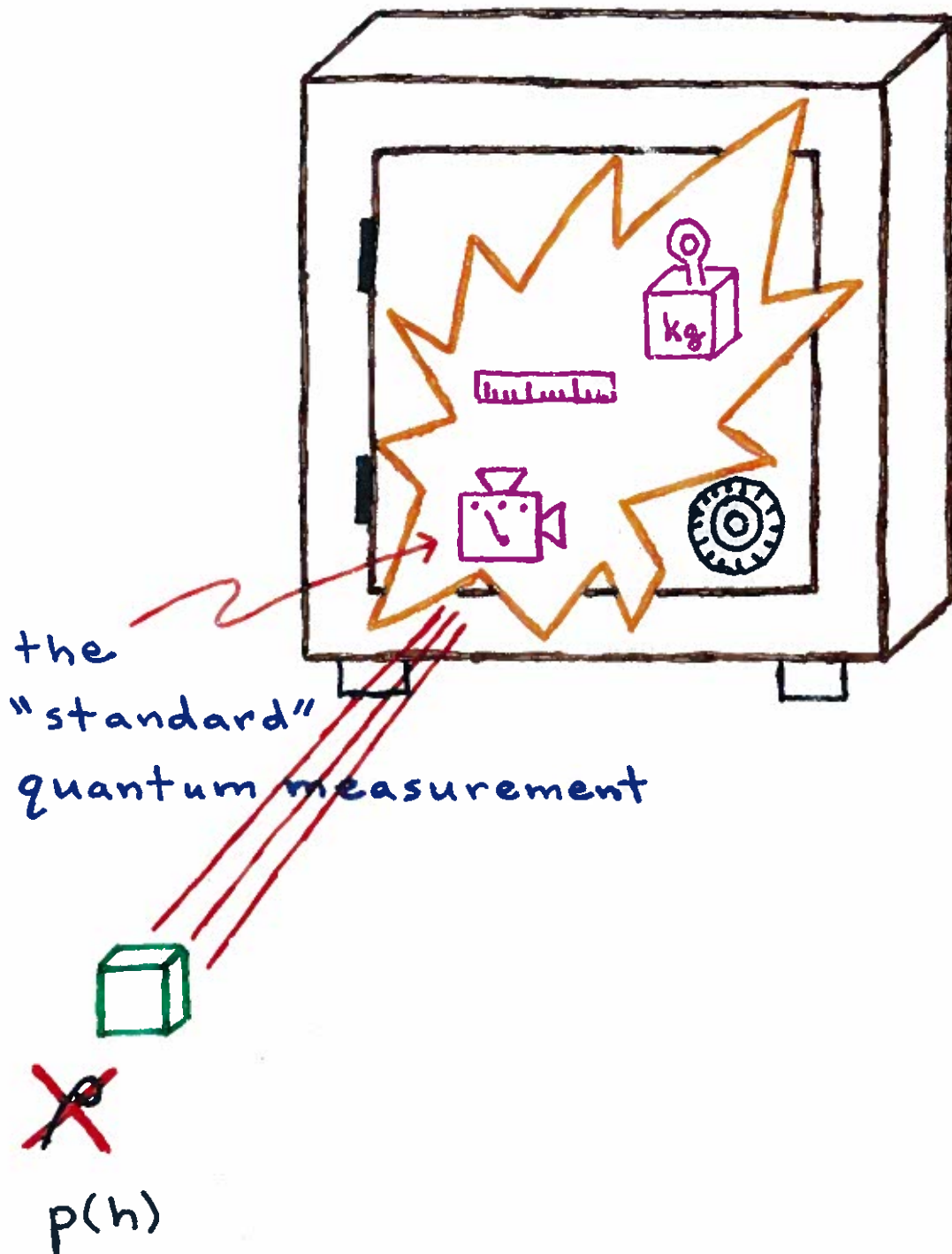
Theorem ?  $\hat{\rho}^{(n)}$  exchangeable sequence  
iff  $\hat{\rho}^{(n)} = \int P(\hat{\rho}) \hat{\rho}^{\otimes n} d\hat{\rho}$  ?

$$\rho \longleftrightarrow p(h)$$

# Bureau of Standards



# Bureau of Standards



Standard measurements  
not good enough for  
the bureau.

$$H = \sum_i \alpha_i \pi_i, \quad \pi_i = |i\rangle\langle i|$$

$$p(i) = \text{tr} \rho \pi_i = \langle i | \rho | i \rangle$$

$$\Rightarrow \begin{pmatrix} \rho_{11} & & \\ & \rho_{22} & \\ & & \ddots \end{pmatrix}$$

# Informational Completeness

quantum states

$\rho \in \mathcal{L}(\mathcal{H}_D)$  —  $D^2$ -dimensional  
vector space

Choose POVM  $\{E_h\}$ ,  $h=1, \dots, D^2$ ,  
with  $E_h$  all linearly independent.  
(Can be done.)

$D^2$  numbers  $p(h) = \text{tr } \rho E_h$   
determine  $\rho$ .

Because  
 $(A, B) = \text{tr } A^\dagger B$   
is an  
inner product.

 projection  
of  $\rho$  onto  $E_h$

Any <sup>such</sup>  $\{E_h\}$  can be the  
standard quantum measurement.

## Path Back to Density Ops

Suppose  $\{E_j\}$ ,  $j=1, \dots, d^2$ , is ICP.

Then  $p(j)$  determines  $\rho$ .

But also  $\rho = \sum_j \alpha_j E_j$  for some  $\alpha_j$ 's.

Thus

$$p(j) = \text{tr } \rho E_j = \sum_k \alpha_k \text{tr } E_j E_k$$

i.e.

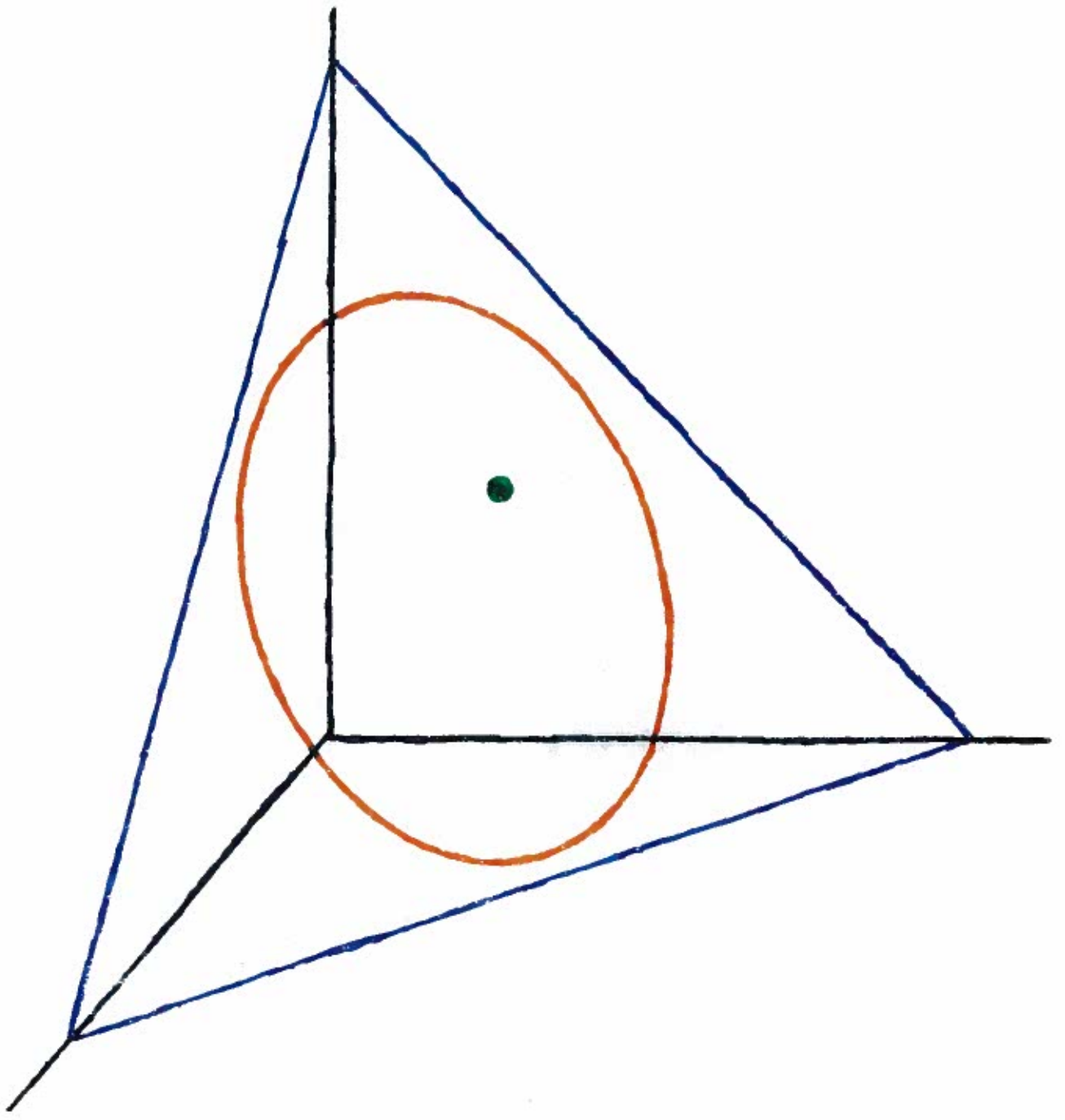
$$\vec{p} = M \vec{\alpha} \quad \text{where } M = [\text{tr } E_j E_k]$$

and so

nonnegative matrix

$$\vec{\alpha} = M^{-1} \vec{p}$$

Prettiest when  $M_{jk} = a + b \delta_{jk}$ .



# A Very Fundamental Mmt?

Caves, 1999  
Zauner

Suppose  $d^2$  projectors  $\Pi_i = |\psi_i\rangle\langle\psi_i|$   
satisfying

$$\text{tr } \Pi_i \Pi_j = \frac{1}{d+1}, \quad i \neq j$$

exist.

Can prove:

- 1) the  $\Pi_i$  linearly independent
- 2)  $\sum_i \frac{1}{d} \Pi_i = \mathbb{I}$

So good for Bureau of Standards.

Also

$$p(i) = \frac{1}{d} \text{tr } \rho \Pi_i$$

$$\rho = \sum_i [(d+1)p(i) - \frac{1}{d}] \Pi_i$$

# Evidence for Existence

Analytical Constructions

$$d = 2 - 13, 15, 19$$

Numerical ( $\epsilon \leq 10^{-11}$ )

$$d = 2 - 47$$

## Pure States in SIC Language

Conditions

$$\rho^\dagger = \rho \quad , \quad \text{tr } \rho^2 = \text{tr } \rho^3 = 1$$

translate to

$$\sum_i p(i)^2 = \frac{2}{d(d+1)}$$

and

$$\sum_{jkl} c_{jkl} p(j)p(k)p(l) = \frac{d+7}{(d+1)^3}$$

where

$$c_{jkl} = \text{Re tr } \Pi_j \Pi_k \Pi_l$$



Could these be independently  
motivatable physical constants?

Measure observable  $\{P_j\}$ .

Probability of outcome  $j$  given  
by

$$p(j) = \text{tr } \rho P_j$$



"The Born Rule"

# Laws of Probability

$H_i$  — various hypotheses one might have

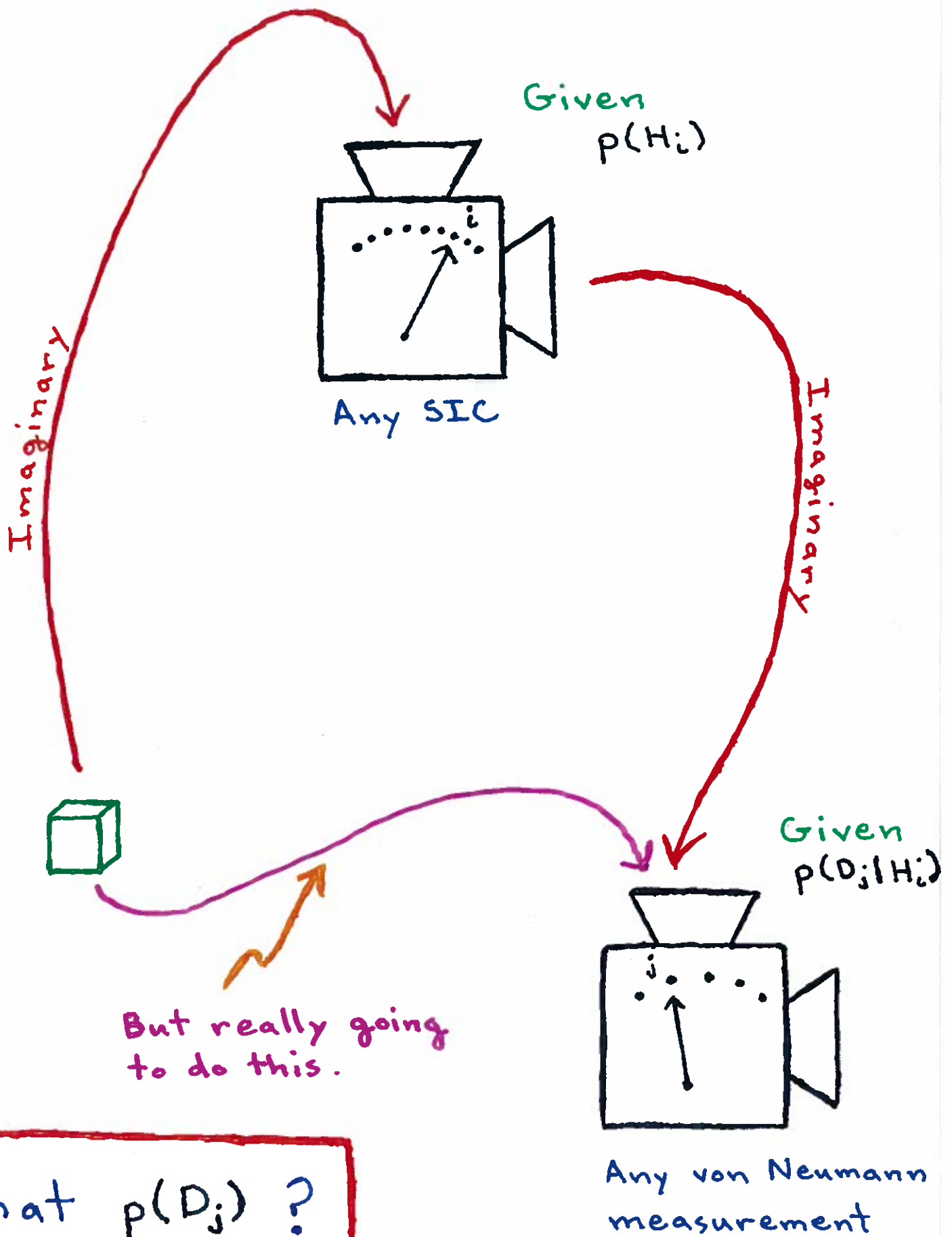
$D_j$  — data values one might gather

Given:  $p(D_j | H_i)$   expectations for data given hypothesis

$p(H_i)$   expectations for hypotheses themselves

Question: What expectations should one have for the  $D_j$ ?

Answer:  $P(D_j) = \sum_i p(H_i) p(D_j | H_i)$



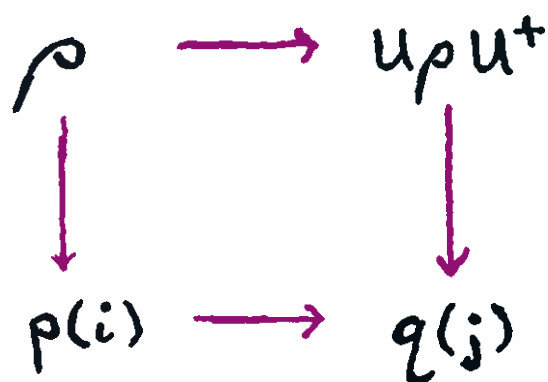
$$p(D_j) = (d+1) \sum_i p(H_i) p(D_j | H_i) - 1$$

Quantum

(Usual) Bayesian

Magic!

# Unitarity



Define  $t(j|i) = \frac{1}{d} \text{tr } U \pi_i U^\dagger \pi_j$

 doubly stochastic matrix

Then

$$q(j) = (d+1) \sum_i p(i) t(j|i) - \frac{1}{d}$$

Could hardly be simpler.

# Tegmark Poll

| Interpretation                  | Votes |
|---------------------------------|-------|
| Copenhagen                      | 13    |
| Many Worlds                     | 8     |
| Bohm                            | 4     |
| Consistent Histories            | 4     |
| Modified Dynamics (GRW)         | 1     |
| None of the above/<br>undecided | 18    |

# Axioms: Quantum

- 0) Systems exist.
- 1) Associated with each is a complex vector space  $\mathcal{H}$ .
- 2) Measurements correspond to orthonormal bases  $|e_i\rangle$  on  $\mathcal{H}$ .
- 3) States correspond to density operators  $\rho$  on  $\mathcal{H}$ .
- 4) Systems combine by tensor producting their vector spaces,  $\mathcal{H}_{AB} = \mathcal{H}_A \otimes \mathcal{H}_B$ .
- 5) When no measurement is performed, states evolve by unitary maps  $U$ .

# Special Relativity

$c$  is constant.

Physics is constant.

What is real about a system?



I want you to frame a question, as sharp and clear as possible—one to which you do not yet know the answer, but desperately want to know, and expect someday to know.

Pretend to be David Hilbert. The Millennium is approaching. Issue a challenge to the quantum theorists of the 21st century. List the key questions they should seek to answer. Hard questions, but not hopelessly hard, questions whose answers could transform our understanding of how the physical world works.

— John Preskill  
12 August 1998

Two graduate scholarships  
available for work with me at  
University of Waterloo; 4 year  
tenure.

If interested, write

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